

mode theory:

- optical fibers are cylindrical dielectric waveguides

+ maxwell's equations for linear isotropic dielectric medium free of current and charges:

faraday's law: $\nabla \times \vec{E} = - \frac{\partial \vec{B}}{\partial t}$

Ampere's law:

$$\nabla \times \vec{H} = \frac{\partial \vec{D}}{\partial t}$$

gauss's law: $\nabla \cdot \vec{D} = 0$, $\nabla \cdot \vec{B} = 0$ | $\vec{D} = \epsilon \vec{E}$, $\vec{B} = \mu \vec{H}$

+ the wave equations are derived as follows:

$$\nabla \times (\nabla \times \vec{E}) = \nabla \times \left(-\mu \frac{\partial \vec{H}}{\partial t} \right) = -\mu \frac{\partial}{\partial t} (\nabla \times \vec{H})$$

not affected by curl

$$= -\epsilon \mu \frac{\partial^2 \vec{E}}{\partial t^2}$$

$\nabla \times (\nabla \times \vec{E}) = \nabla (\nabla \cdot \vec{E}) - \nabla^2 \vec{E}$ (vector identity)

$$\therefore \nabla^2 \vec{E} = \epsilon \mu \frac{\partial^2 \vec{E}}{\partial t^2} \quad , \quad \nabla^2 \vec{H} = \epsilon \mu \frac{\partial^2 \vec{H}}{\partial t^2}$$

wave equations

- the cylindrical coordinate system is used since the optical fibers are cylindrical

$\vec{E} = E_0(\rho, \phi) e^{i(\omega t - \beta z)}$

$\vec{H} = H_0(\rho, \phi) e^{i(\omega t - \beta z)}$

$\rho = r$

substituting in wave equations and using $\nabla^2 V = \frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial V}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 V}{\partial \phi^2} + \frac{\partial^2 V}{\partial z^2}$

$\rightarrow \frac{\partial^2 \vec{E}}{\partial z^2} = -E_z \cdot \beta^2 \quad \wedge \quad \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial \vec{E}}{\partial r} \right) =$

$$\nabla \times \bar{E} + \mu \frac{\partial \bar{H}}{\partial t} = -j\omega\mu\bar{H}$$

$$\nabla \times \bar{E} = \frac{1}{r} \left[\frac{\partial E_z}{\partial \phi} - r \frac{\partial E_\phi}{\partial z} \right] \bar{a}_r + \left[\frac{\partial E_r}{\partial z} - \frac{\partial E_z}{\partial r} \right] \bar{a}_\phi + \frac{1}{r} \left[\frac{\partial E_\phi}{\partial r} - \frac{\partial E_r}{\partial \phi} \right] \bar{a}_z = -j\omega\mu\bar{H}$$

$$\rightarrow -j\omega\mu H_r = \frac{1}{r} \left[\frac{\partial E_z}{\partial \phi} - r \frac{\partial E_\phi}{\partial z} \right]$$

$$\bar{E} = E_0(r, \phi) e^{j(\omega t - \beta z)} \rightarrow \frac{\partial E_\phi}{\partial z} = -E_\phi \cdot j\beta$$

$$\therefore -j\omega\mu H_r = \frac{1}{r} \left[\frac{\partial E_z}{\partial \phi} + E_\phi \cdot j\beta r \right]$$

$$\therefore -j\omega\mu H_\phi = -E_r \cdot j\beta - \frac{\partial E_z}{\partial r} \rightarrow j\omega\mu H_\phi = E_r j\beta + \frac{\partial E_z}{\partial r}$$

$$\therefore -j\omega\mu H_z = \frac{1}{r} \left[\frac{\partial(rE_\phi)}{\partial r} - \frac{\partial E_r}{\partial \phi} \right]$$

after substitutions : (wave equations in cylindrical coordinates)

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} + \frac{1}{r^2} \frac{\partial^2 E_z}{\partial \phi^2} + q^2 E_z = 0$$

~

$$\frac{\partial^2 H_z}{\partial r^2} + \frac{1}{r} \frac{\partial H_z}{\partial r} + \frac{1}{r^2} \frac{\partial^2 H_z}{\partial \phi^2} + q^2 H_z = 0$$

$$\text{where } q^2 = \omega^2 \epsilon \mu - \beta^2 = k^2 - \beta^2$$

- separation of variables can be used to solve the above wave equations:

$$E_z = A F_1(r) F_2(\phi) F_3(z) F_4(t)$$

$$\rightarrow F_3(z) F_4(t) = e^{j(\omega t - \beta z)}$$

periodic propagating in +z direction

- since the waveguide is symmetric about the central axis (circular symmetry) the field components

must not change after a full ϕ rotation $\rightarrow F_2(\phi) = e^{j\nu\phi}$, ν is \pm integer

$\therefore \frac{\partial^2 F_1}{\partial r^2} + \frac{1}{r} \frac{\partial F_1}{\partial r} + \left(q^2 - \frac{v^2}{r^2} \right) F_1 = 0$ differential equation for Bessel function

- exact same equation can be derived for H_z
- above equations must be solved for two regions:
 - inside core $r < a$, solution must remain finite as $r \rightarrow 0$
 - outside core, in cladding $r > a$, solution decays to zero as $r \rightarrow \infty$

\therefore

$$\forall r < a: \quad E_z(r < a) = A J_\nu(wr) e^{j\nu\phi} e^{j(\omega t - \beta z)}$$

$$H_z(r < a) = B J_\nu(wr) e^{j\nu\phi} e^{j(\omega t - \beta z)}$$

Annotations:
 $w = \beta^2 - k_0^2$
 $k_0 = 2\pi/\lambda$
 ν : index of refraction
 $\pm \nu$: voltage
 arbitrary constant

- $J_\nu(wr)$: Bessel function of first kind and order ν

\wedge

$$\forall r > a: \quad E_z(r > a) = C K_\nu(wr) e^{j\nu\phi} e^{j(\omega t - \beta z)}$$

$$H_z(r > a) = D K_\nu(wr) e^{j\nu\phi} e^{j(\omega t - \beta z)}$$

Annotations:
 $w^2 = \beta^2 - k_0^2$
 $k_0 = 2\pi/\lambda$
 ν : index of refraction
 arbitrary constant

- $K_\nu(wr)$: modified Bessel function of second kind and order ν

* cutoff condition: the point at which α mode is no longer bound to the core region ($\beta \approx k_0$)

\therefore for bound solutions: $n_2 k_0 \leq \beta \leq n_1 k_0$, $k_0 = 2\pi/\lambda$

- Next, boundary conditions must be applied at interface of core and cladding. Boundary conditions necessitate the tangential components (to the radius) to be equal at the interface

$\rightarrow E_\phi^{\text{cladding}} = E_\phi^{\text{core}}, \quad E_z^{\text{cladding}} = E_z^{\text{core}}$ (similarly for H fields)

$\rightarrow E_{z1} - E_{z2} = A J_\nu(wa) - C K_\nu(wa) = 0$

- E_ϕ can be found in terms of E_z and H_z , same is done for H_ϕ

- therefore, a set of four equations all equal to zero in terms of the Bessel functions are obtained. These equations exist only if the determinant of the unknown coefficients is zero:

$$\begin{vmatrix} J_v(ua) & 0 & -K_v(wa) & 0 \\ \frac{\beta v}{au^2} J_v(ua) & \frac{j\omega\mu}{u} J'_v(ua) & \frac{\beta v}{aw^2} K_v(wa) & \frac{j\omega\mu}{w} K'_v(wa) \\ 0 & J_v(ua) & 0 & -K_v(wa) \\ -\frac{j\omega\epsilon_1}{u} J'_v(ua) & \frac{\beta v}{au^2} J_v(ua) & -\frac{j\omega\epsilon_2}{w} K'_v(wa) & \frac{\beta v}{aw^2} K_v(wa) \end{vmatrix} = 0$$

- evaluation of the determinant yields the following eigenvalue equation for B :

$$(\mathcal{J}_v + \mathcal{K}_v)(k_1^2 \mathcal{J}_v + k_2^2 \mathcal{K}_v) = \left(\frac{\beta v}{a}\right)^2 \left(\frac{1}{u^2} + \frac{1}{w^2}\right)^2$$

where $\mathcal{J}_v = \frac{J'_v(ua)}{uJ_v(ua)}$ and $\mathcal{K}_v = \frac{K'_v(wa)}{wK_v(wa)}$

- since B is bounded between k_2 and k_1 , the solutions of the above equation will be discrete values within the range
- all modes in a dielectric fiber are guided any hybrid, except when $V=0$ (in above eigenvalue equation for B)

for $B=0$ $\rightarrow \frac{J_1(ua)}{uJ_0(ua)} + \frac{K_1(wa)}{wK_0(wa)} = 0$, corresponding to TE_{0m} modes ($E_z=0$)

$\mathcal{H} \rightarrow \frac{k_1^2 J_1(ua)}{u J_0(ua)} + \frac{k_2^2 K_1(wa)}{w K_0(wa)} = 0$, corresponding to TM_{0m} modes ($H_z=0$)

- if $V \neq 0$, analytical solutions are not possible. instead, following approximations can be used when $n_1 - n_2 \ll 1$ (weakly guided modes condition)

| v | Mode | Cutoff condition |
|----------|--------------------|---|
| 0 | TE_{0m}, TM_{0m} | $J_0(ua) = 0$ |
| 1 | HE_{1m}, EH_{1m} | $J_1(ua) = 0$ |
| ≥ 2 | EH_{vm} | $J_v(ua) = 0$ |
| | HE_{vm} | $\left(\frac{n_1^2}{n_2^2} + 1\right) J_{v-1}(ua) = \frac{ua}{v-1} J_v(ua)$ |

* normalized frequency (V): parameter connected with cutoff condition that determines how many modes a fiber can support.

$$V^2 = (u^2 + w^2) a^2 = \left(\frac{2\pi a}{\lambda}\right)^2 (n_1^2 - n_2^2) = \left(\frac{2\pi a}{\lambda}\right)^2 NA^2$$

- normalised propagation constant, b , is found from V : $b = \frac{a^2 w^2}{V^2} = \frac{(\beta/k)^2 - n_2^2}{n_1^2 - n_2^2}$

- modes are cutoff when $B/a = n_2$

- single mode (HE₁₁) is realised at $V = \frac{2\pi a}{\lambda} (n_1^2 - n_2^2)^{1/2} \leq 2.405$

(value at which the lowest-order Bessel function ($J_0=0$) is obtained)

- number of modes in a multimode fiber can be approximated when n is large as follows: $M \approx \frac{V^2}{2}$

- modes for graded index fibers

$$M \approx \frac{2A}{\lambda^2} \Omega = \frac{2\pi^2 a^2}{\lambda^2} (n_1^2 - n_2^2) = \frac{V^2}{2}$$

- if Δ is larger than 1%, the cable supports multi-mode operation for both step and graded index fibers

- total power in core and cladding for each mode:

$$P = 0.5 \operatorname{Re} \{ E \times H^* \} \cdot \bar{e}_z$$

$$P_{\text{core}} = \frac{1}{2} \int_0^a \int_0^{2\pi} \operatorname{Re} (E_x H_y^* - E_y H_x^*) d\theta dr$$

$$\frac{P_{\text{cladding}}}{P} = 1 - \frac{P_{\text{core}}}{P}$$

$$P_{\text{cladding}} = \frac{1}{2} \int_a^\infty \int_0^{2\pi} \operatorname{Re} (E_x H_y^* - E_y H_x^*) d\theta dr$$

$$\left(\frac{P_{\text{cladding}}}{P} \right)_{\text{total}} \approx \frac{4}{3} M^{-1/2}$$

where $P = P_{\text{cladding}} + P_{\text{core}}$

- single mode index difference ranges from 0.002 to 0.01

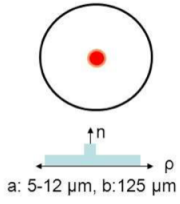
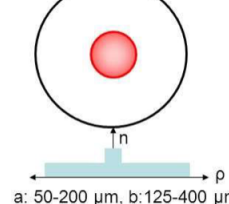
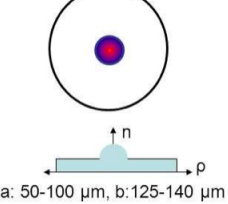
- HE₁₁ is the dominant mode with distribution: $E(r) = E_0 e^{\left(\frac{-r^2}{w_0^2}\right)}$

where w_0 is the mode field diameter, also referred to as d_m , such that:

$$d_m = 2\sqrt{2} \left(\frac{\int_0^\infty E^2(r) r^2 dr}{\int_0^\infty E^2(r) r dr} \right)^{1/2}$$

+ fiber comparison

Fiber Types

| | | |
|---|---|--|
| <p><u>Single-mode step-index fibers:</u></p> <ul style="list-style-type: none"> • No intermodal dispersion gives highest bandwidth • Small core radius ^ difficult to launch power, lasers are used | <p><u>Multi-mode step-index fibers:</u></p> <ul style="list-style-type: none"> • Large core radius ^ Easy to launch power, LEDs can be used • Intermodal dispersion reduces the fiber bandwidth | <p><u>Multi-mode graded-index fibers:</u></p> <ul style="list-style-type: none"> • Reduced intermodal dispersion gives higher bandwidth |
|  <p>a: 5-12 μm, b: 125 μm</p> |  <p>a: 50-200 μm, b: 125-400 μm</p> |  <p>a: 50-100 μm, b: 125-140 μm</p> |

+ fiber materials must be:

- 1- ductile (can be made long, thin, and flexible)
 - 2- must be transparent allowing for wide range of optical wavelengths with low losses
 - 3- materials for core and cladding must be physically compatible
- silica is most used for fibers (SiO_2)
 - refractive index of core or cladding can be varied with dopants such as GeO_2 , B_2O_3 , P_2O_5

| Core | Cladding |
|--|--|
| $\text{SiO}_2 + \text{GeO}_2$ (dopant) | SiO_2 |
| $\text{SiO}_2 + \text{P}_2\text{O}_5$ (dopant) | SiO_2 |
| SiO_2 | $\text{SiO}_2 + \text{B}_2\text{O}_3$ (dopant) |
| $\text{SiO}_2 + [\text{GeO}_2 + \text{B}_2\text{O}_3]$ (dopants) | $\text{SiO}_2 + \text{B}_2\text{O}_3$ (dopant) |

$$2.12: \quad \text{NA} = (n_1^2 - n_2^2)^{1/2} \approx n_1 (2\Delta)^{1/2}, \quad \Delta = \frac{n_1 - n_2}{n_1}$$

$$\text{NA} \approx n_1 = 1.48 \quad \wedge \quad n_2 = 1.46$$

$$\rightarrow \text{NA} = 0.2425 \approx 0.243$$

$$\sim \theta_{0, \text{max}} = \sin^{-1} [(n_1^2 - n_2^2)^{1/2}] = \sin^{-1} [\text{NA}] = 0.245 \text{ rad} \quad 14^\circ$$

$$2.18: \quad \text{NA} = 0.2, \quad 1000 \text{ modes}, \quad 850\text{-nm}$$

$$\text{NA} = \frac{2\pi a}{\lambda} (n_1^2 - n_2^2)^{1/2} \rightarrow \text{number of modes} \approx \frac{V^2}{2}$$

$$a) \quad \frac{V^2}{2} \approx 1000 \rightarrow V \approx 44.72 = \frac{2\pi a}{850 \text{ nm}} \cdot 0.2 \rightarrow a = 30.25 \mu\text{m}$$

$$\therefore d = 60.5 \mu\text{m}$$

$$b) \quad m = 414.66 \rightarrow 414 \text{ modes}$$

$$c) \quad m = 300.9 \rightarrow 300 \text{ modes}$$

$$2.21: \quad V \leq 2.405 \text{ for single mode}$$

$$\rightarrow 2.405 \geq \frac{2\pi a}{1.32} \cdot \text{NA}, \quad \text{NA} = (n_1^2 - n_2^2)^{1/2} = 0.099$$

$$\sim \theta_{0, \text{max}} = 4.41^\circ$$

$$\therefore a \leq 6.56 \mu\text{m}$$

$$2.29: \quad M_g = \frac{a}{a+2} a^2 k^2 n_1^2 \Delta \approx \frac{a}{a+2} \frac{V^2}{2}$$

at 820 nm

$$\rightarrow M_g = 539 \text{ modes}$$

at 1.3 μm

$$\rightarrow M_g = 214 \text{ modes}$$

modes for this case index profile are half that of step index

$$V_{820 \text{ nm}} = 46.45, \quad V_{1.3 \mu\text{m}} = 29.24$$

first 2021:

Q1: + three main types of fibers:

1- multimode step-index fiber (50-200 μm core, 125-400 μm cladding)

- low bandwidth (disadvantage)

- many modes can be supported (advantage)

graded index can't be single mode

2- multimode graded-index fiber: (50-100 μm core, 125-140 μm cladding)

- larger bandwidth than multimode step-index

- less modes than multimode step-index

3- single mode step-index fiber (8-12 μm core, ~ 125 μm cladding)

monomode - high bandwidth

- Requires light source to be directed, very small mode acceptance angle

Q2: $d = 60 \mu\text{m}$, step, $n_1 = 1.46$, $\Delta = 1\%$ at 1550 nm

$$\Delta = \frac{n_1 - n_2}{n_1} = 1\% \rightarrow n_2 = 1.4652, \theta_c = \sin^{-1}\left(\frac{n_2}{n_1}\right) = 81.9^\circ$$

$$NA = n_1(\Delta)^{1/2} = 0.21, \theta_{s, \max} = \sin^{-1}(NA) = 12.1^\circ$$

$$V = \frac{2\pi a}{\lambda} NA = 21.28 \rightarrow M = \frac{V^2}{2} = 226 \text{ modes}$$

$$\text{for single mode: } V \leq 2.405 \rightarrow \frac{2.405 \cdot 1.55}{0.21} = 2\pi a \rightarrow a < 2.82$$

$r < 5.64 \mu\text{m}$

Q3: the four modes are TE_{0m} , TM_{0m} , HE_{1m} , and EH_{1m}

- dominant mode is the operating mode with the lowest cutoff frequency, HE_{11}

- the tangential components in the core and cladding E_z , E_θ , H_z , and H_θ must be equal at the interface

Q4: 1- modified chemical vapor deposition

2- outside vapor deposition

3- vapor axial deposition

- silica is the main material SiO_2 \rightarrow special plastics

- GeO_2 raise refractive index, B_2O_3 drops it
or As_2O_3

Q5: conservation of volume $\rightarrow L \cdot \pi \frac{D^2}{4} = l \pi \frac{d^2}{4} \rightarrow \left(\frac{d}{D}\right)^2 = \frac{L}{l} \rightarrow l = 25600 \text{ m}$

same core to cladding ratio $\rightarrow \frac{D_c}{20 \text{ mm}} = \frac{50 \mu\text{m}}{7.5 \mu\text{m}} \rightarrow D_c = 8 \text{ mm}$

drumming speed: $1 \text{ m} \rightarrow 25600 \text{ m} \rightarrow 20 \text{ mm} \rightarrow 512 \text{ m/min}$

or $D^2 \cdot L = d^2 \cdot l$ \wedge $L = S \cdot t$, $l = v \cdot t \rightarrow d^2 \cdot v = D^2 \cdot S \rightarrow v = \left(\frac{D}{d}\right)^2 S$

- the solution to the Helmholtz equation inside the core is:

$$E_z(r < a) = A J_0(ur) e^{i v z} e^{i(\omega t - \beta z)}$$

$$H_z(r < a) = B J_0(ur) e^{i v z} e^{i(\omega t - \beta z)}$$

- - - - - in the cladding region:

$$E_z(r > a) = C K_0(wr) e^{i v z} e^{i(\omega t - \beta z)}$$

$$H_z(r > a) = D K_0(wr) e^{i v z} e^{i(\omega t - \beta z)}$$

2018 Spring:

Q2: $n_2 = 1.4553 \rightarrow \theta_c = 81.4^\circ$, $NA = 0.208 \rightarrow V_{\frac{\lambda}{2}} = m = 222$

$\theta_{0, \text{max}} \approx 12^\circ$

$0.01 \leq \Delta \leq 0.03$ multimode

Q4: 1- modified chemical vapor deposition

2- outside vapor deposition

3- vapor axial deposition

glass and special plastics are
mainly used

GeO_2 and As_2O_3 to raise index
fluorine B_2O_3 to lower

Q₁: to conserve volume: $L\pi \frac{D^2}{4} = 2\pi \frac{d^2}{4} \rightarrow \frac{L}{l} = \frac{d^2}{D^2}$

$$\frac{L}{6km} = \left(\frac{126\mu m}{10mm}\right)^2 \rightarrow L = 0.98125 m$$

diameter ratio must remain constant $\rightarrow \frac{D_c}{D} = \frac{d_c}{d} \rightarrow D_c = 4 mm$

$\therefore L \cdot D^2 = 2lt \quad \wedge \quad L = 5t, \quad l = 4t \rightarrow 5D^2 = 8t^2$

$\therefore D = 5 \left(\frac{D}{8}\right)^2 = 46000 mm/min = 96 m/min = 1.6 \frac{m}{s}$

Example 3 in notes:

$\therefore N = \frac{V\lambda}{2} \quad \wedge \quad V = \frac{2\pi a}{\lambda} NA \quad \wedge \quad NA = 1.48 \cdot (2 \cdot 0.01)^{1/2} \rightarrow N = 748$

$\therefore \left(\frac{P_{chd}}{P_{total}}\right) \approx \frac{4}{3} N^{-1/2} = 4.88\% \text{ Pchd}$

$\wedge \quad P_{core} = 95.12\% \text{ of total power}$

Spring 2013:

Q₁: optical advantages over coaxial:

- higher bandwidth
- smaller size \rightarrow lower cost
- less attenuation \rightarrow less repeaters required
- immune to noise
- no crosstalk
- difficult to tap
- common ground not required

disadvantages:

- more fragile
- difficult splicing
- can't carry electric power to repeaters

60 bit/s for voice \rightarrow 155520 channels

+ SDH vs PDA:

- PDA max rate of 56 kbps, SDH max of 10 Gbps
- PDA not compatible with other signal
- SDH is simpler to implement

Q4: TE: transverse electric, E-field is perpendicular to reference plane and propagation direction $E_z = 0$

subscript is the possible mode of propagation, its value equals the number of field zeros across the waveguide

- hybrid modes exist when the z-components are non-zero (both E_z and H_z)
called EH if $E_z > H_z$ and HE on the contrary
- all modes are hybrid except when $V=0$

$HE_{1m} \rightarrow V$ number of field zeros across length of waveguide
 $\sim m$ — — — — — width — — —

Q5:

$$E_z (R < a) = A J_0(ur) e^{i\nu z} e^{i(\omega t - \beta z)}$$

$$H_z (R < a) = B J_0(ur) e^{i\nu z} e^{i(\omega t - \beta z)}$$

$$\sim E_z (R > a) = C K_0(wr) e^{i\nu z} e^{i(\omega t - \beta z)}$$

$$H_z (R > a) = D K_0(wr) e^{i\nu z} e^{i(\omega t - \beta z)}$$

Q6: $\lambda = 850 \text{ nm}$, $n_1 = 1.47$ \sim $n_2 = 1.46$, $d = 1300 \text{ nm}$

step-index: $m = \frac{V^2}{2}$, $V = \frac{2\pi a}{\lambda} \text{NA}$, $\text{NA} = (n_1^2 - n_2^2)^{1/2} \rightarrow m = 213$

graded-index: $m = \frac{6}{6+2} \cdot M_{\text{step}}$ assuming parabolic: $m = 106$

Q7: for single mode: $0.005 \leq V \leq 0.01$ ✓

so $V \leq 2.405$ \sim $V = \frac{2\pi a}{\lambda} \cdot \text{NA}$ \sim $\text{NA} = 0.456$

for $\lambda = 850 \text{ nm} \rightarrow V = 9.31$ can't be used | $\lambda = 1300 \text{ nm}$ can't
 if $\lambda = 1550 \text{ nm} \rightarrow V = 2.364$ can be used

Q8: 1- can be drawn into thin and long wires + flexible

2- transparent for low losses and many wavelengths

3- core and cladding must be physically compatible

$\text{GeO}_2 \rightarrow$ increases index, $\text{B}_2\text{O}_3 \rightarrow$ decreases index, fluorine
 increase

$\text{As}_2\text{O}_3 \rightarrow$ increases

Q9: 1- modified chemical vapor deposition (MCVD)

2- outside vapor deposition (OVD)

3- vapor mixed deposition (VAD)

to produce silica: $\text{SiCl}_4 + \text{O}_2 \xrightarrow{\Delta} \text{SiO}_2 + 2\text{Cl}_2$

GeO_2 : $\text{GeCl}_4 + \text{O}_2 \xrightarrow{\Delta} \text{GeO}_2 + 2\text{Cl}_2$

$4\text{POCl}_3 + 3\text{O}_2 \xrightarrow{\Delta} 2\text{P}_2\text{O}_5 + 6\text{Cl}_2$

$4\text{BCl}_3 + 3\text{O}_2 \xrightarrow{\Delta} 2\text{B}_2\text{O}_3 + 6\text{Cl}_2$

Q10: $2f^2 = L^2 \rightarrow L = 2\left(\frac{1}{D}\right)^2 = 0.98125 \text{ m}$

$\frac{10}{125} = \frac{D_c}{D} \rightarrow D_c = 0.8 \text{ mm}$

+ received signal is not the same as transmitted due to:

- attenuation
- distortion
- time delay
- 4- noise
- 5- ISI
- 6- multipath fading

- attenuation determines maximum repeaterless distance between Tx and Rx

$$P(z) = P(0) e^{-\alpha_P z}$$

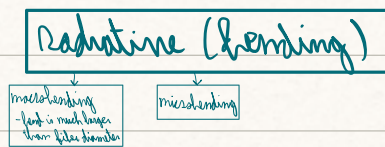
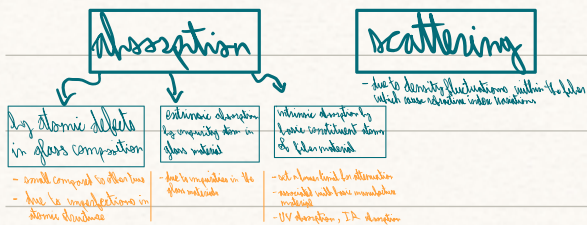
where z is distance from transmitter, α_P is attenuation coefficient (repeats / km)

$$\alpha_P = \frac{1}{z} \ln \left(\frac{P(0)}{P(z)} \right) \rightarrow \alpha \text{ (dB)} = \frac{10}{z} \log \left[\frac{P(0)}{P(z)} \right] = 4.343 \alpha_P$$

- for a fiber of length L :

$$P_{\text{out}} \text{ (dBm)} = P_{\text{in}} \text{ (dBm)} - \alpha \text{ (dB/km)} \cdot L \text{ (km)}$$

+ attenuation is caused by:



- UV loss for a given mode fraction x of GeO₂ to SiO₂ is: $\alpha_{UV} = \frac{154 \cdot 2x}{46 \cdot 6x + 60} \cdot x \cdot 10^{-2} \cdot e^{\left(\frac{4 \cdot 63}{x}\right)}$ (dB/km)

- IR absorption for SiO₂ glass is: $\alpha_{IR} = 7.81 \cdot 10^{-4} \cdot e^{\left(\frac{-48.498}{\lambda}\right)}$ (dB/km)

- Rayleigh scattering is inversely proportional to quadratic wavelength, given by:

$$\alpha_{\text{rad}} = \frac{8\pi^3}{3\lambda^4} (n^2 - 1)^2 \cdot k_B \cdot T_f \cdot \Delta T$$

Rayleigh index *Boltzmann constant* *refractive index of material* *absolute temperature*

- higher order modes radiate out first in macro-bending.

- curved fiber supports less modes than straight fiber.

- number of modes that a curved fiber can support is found from:

$$N_{\text{eff}} = N_{00} \left\{ 1 - \frac{\alpha + 2}{2\alpha \Delta} \left[\frac{2\alpha}{R} + \left(\frac{3}{2\alpha R n_2} \right)^{2/3} \right] \right\}, \quad N_{00} = \frac{\alpha}{\alpha + 2} (n_1 k a)^2 \Delta$$

effective number of modes *number of modes in a straight fiber* *radius of curvature*

- bending loss: occurs due to more of the signal tail tunneling in the cladding

$$\frac{P_{\text{clad}}}{P} = \frac{4}{3} (n)^{-2} \rightarrow \text{bending loss} = \left[1 - \left(\frac{N_{\text{eff}}}{N_{00}} \right)^2 \right]$$

- bit rate to minimize ISI is found from the RMS pulse width ΔT : $B < \frac{1}{\Delta T}$ (bits/s)

- pulse spreading increases linearly with distance, hence the bit rate - distance product is a measure of capacity:

depends on fiber type constant = $B \cdot L$ (kbit/km/s) or bandwidth-distance product: $BW \cdot L$ (MHz · km)

- $BW \cdot L$ is preferred over $B \cdot L$ since BW is constant, whereas B depends on modulation scheme.

- BW $\cdot L$ for multi-mode step index $\sim 20 \text{ MHz} \cdot \text{km}$, for graded-index $\sim 2.5 \text{ GHz} \cdot \text{km}$, for single mode $> 10 \text{ GHz} \cdot \text{km}$

+ types of dispersion:

due to delays between different modes carrying the same optical pulse at same wavelength

- intermodal (modal delay)

due to delays between different wavelengths carrying the same optical pulse in same mode. increases with spectral width of optical source (50nm for LED and 2nm for LD)
 - material dispersion: due to variation of refractive index as function of wavelength
 - waveguide dispersion: due to various propagating modes - adding them up

- intramodal (chromatic)

due to different polarizations carrying the same optical pulse

- polarization

- for intermodal, worst pulse width in multimode step index: $\Delta T = T_{\max} - T_{\min} = \frac{n_1}{c} \left(\frac{L}{\sin(\theta_c)} - L \right) = \frac{L n_1^2}{c n_2} \Delta$

$$\rightarrow B \cdot L = \frac{L}{\Delta T} = \frac{c n_2}{n_1^2 \Delta} \approx \frac{c}{\Delta n_1} \text{ km} \cdot \text{km/s}$$

- rms pulse width (standard case):

$$(\Delta T)_{\text{rms, step}} = \frac{L \Delta n_1}{2\sqrt{3} c} \rightarrow B \cdot L = \frac{2\sqrt{3} c}{n_1 \cdot \Delta} \text{ km} \cdot \text{km/s}$$

\sim

$$(\Delta T)_{\text{rms, graded}} = \frac{L \Delta^2 n_1}{2\sqrt{3} c} \rightarrow B \cdot L = \frac{2\sqrt{3} \cdot c}{n_1 \cdot \Delta^2} \text{ km} \cdot \text{km/s}$$

- group velocity: $V_g = \frac{\partial \omega}{\partial \beta}$

- phase velocity: $V_p = \frac{\omega}{\beta}$

$$V_p \cdot V_g = \left(\frac{c}{n} \right)^2$$

- group delay per unit length: $t_g = 1/V_g = \frac{\partial \beta}{\partial \omega} = -\frac{\lambda^2}{2\pi} \cdot \frac{\partial \beta}{\partial \lambda}$

$$\frac{\partial \beta}{\partial \lambda} = -\frac{\lambda^2}{2\pi} \frac{\partial \beta}{\partial \lambda} \rightarrow \lambda^2 \rightarrow -\lambda^2 \cdot \partial \lambda$$

- if spectral width is narrow, the delay difference per unit wavelength is $\frac{dt_g}{d\lambda}$

- for spectral components which lie at $\frac{\delta \lambda}{2}$ above and below the central wavelength λ_0 , the total delay difference

over a distance L is: $\delta \tau = \frac{dt_g}{d\lambda} \delta \lambda = -\frac{L}{2\pi c} \left(2\lambda \frac{d\beta}{d\lambda} + \lambda^2 \frac{d^2 \beta}{d\lambda^2} \right) \delta \lambda$

- pulse dispersion by its rms pulse width:

$$\sigma_{\tau} = D \cdot L \cdot \sigma_{\lambda} = -\frac{L \sigma_{\lambda}}{2\pi c} \left(2\lambda \frac{d\beta}{d\lambda} + \lambda^2 \frac{d^2 \beta}{d\lambda^2} \right)$$

$$D = -\frac{1}{2\pi c} \left(2\lambda \frac{d\beta}{d\lambda} + \lambda^2 \frac{d^2 \beta}{d\lambda^2} \right)$$

- material dispersion: $\beta(\lambda) = \frac{2\pi n(\lambda)}{\lambda}$, $\beta(\lambda)$ is not linear

- substituting $\beta(\lambda)$ into the equation for the dispersion factor D : $D_{\text{mat}} = \frac{\lambda}{c} \frac{d^2 n}{d\lambda^2} \rightarrow \sigma_{\text{mat}} = D_{\text{mat}} \cdot L \cdot \sigma_{\lambda}$

$$B \cdot L = \frac{1}{\sigma_{\text{mat}}}$$

- Chromatic dispersion (unavoidable intermodal), due to β depending nonlinearly on freq.

$$\beta = n_2 k_0 (v \Delta + 1), \quad v = \frac{\left(\frac{\lambda}{\lambda_0} \right)^2 - n_2^2}{n_1^2 - n_2^2} \text{ normalized propagation constant}$$

propagation constant in waveguide
 normalized propagation constant
 wave number

- group delay can be found in terms of β or V_g as:

$$T_{wg} = \frac{L \partial \beta}{c \partial \omega} = \frac{L}{c} \left[n_2 + n_2 \Delta \frac{\partial (v \Delta)}{\partial \omega} \right] \text{ or } T_{wg} = \frac{L}{c} \left[n_2 + n_2 \Delta \frac{\partial (v \Delta)}{\partial \omega} \right], \text{ where } \frac{d(v \Delta)}{d\omega} = v \Delta \left[1 - \frac{2 \frac{d^2 v}{d\omega^2} (\omega \Delta)}{2 v \Delta \frac{d^2 v}{d\omega^2} (\omega \Delta)} \right]$$

$$\rightarrow \sigma_{\text{wg}}^{\text{rms}} = \sigma_{\lambda} \frac{d\tau_{\text{wg}}}{d\lambda} = - \frac{L \Delta n_2 \sigma_{\lambda}}{c\lambda} \cdot V \frac{d^2(Vh)}{dV^2}, \quad V = \frac{2\pi a}{\lambda} \cdot n_1 (2\Delta)^{1/2}$$

normalised frequency (V-parameter)
found from graph

$$\sigma_{\text{wg}} = \Delta \tau_{\text{wg}} \cdot L \cdot \sigma_{\lambda}, \quad \Delta \tau_{\text{wg}} = - \frac{\Delta n_2}{c\lambda} \cdot V \frac{d^2(Vh)}{dV^2}$$

- the normalised propagation constant h as a function of normalised frequency for HE_{11} is: $h(V) = 1 - \frac{(1+\sqrt{2})^2}{[1+(2+V^2)^{1/2}]^2}$

- total intramodal (chromatic) dispersion: $D_{\text{chrom}} = D_{\text{mat}} + D_{\text{wg}}$

- polarisation mode dispersion (PMD): time delay between two polarisation modes: $\Delta \tau_{\text{PMD}} = \left| \frac{L}{v_{g,x}} - \frac{L}{v_{g,y}} \right| \approx D_{\text{PMD}} \cdot \sqrt{L}$

0.05 to 1 ps/√km
group velocity of modes x, y

+ benefits of operating at 1550 nm:

1 - minimum fiber loss, 2 - erbium-doped amplifier operating frequency, 3 - zero dispersion can be shifted here

- material dispersion is fixed, but waveguide dispersion can be varied by changing the size of the core, refractive indices, etc.

Quiz practice: step & graded: $n_1 = 1.48$, $\Delta = 0.02$

a) $(B \cdot L)_{\text{step}} = 35.11 \text{ Mbit} \cdot \text{km}$, $(B \cdot L)_{\text{graded}} = 1.95 \text{ Gbit} \cdot \text{km}$

b) $(B)_{\text{graded}} = 19.55 \text{ Mbit/s}$, $(B)_{\text{step}} = 0.35 \text{ Mbit/s}$

EESS5: homework #2

$$3.2: \quad P_{out}(dBm) = P_{in}(dBm) - \alpha(dB/km) \cdot L(km)$$

$$\wedge P_{in, at 1310} = -8.239 \text{ dBm}, \quad P_{in, at 1550} = -10 \text{ dBm}$$

$$a) \quad L = 8 \text{ km} \quad \therefore P_{out, 1310} = -13.039 \text{ dBm} = \boxed{49.67 \mu W}$$

$$\wedge P_{out, 1550} = -12.4 \text{ dBm} = \boxed{59.54 \mu W}$$

$$b) \quad L = 20 \text{ km} \quad \therefore P_{out, 1310} = \boxed{9.46 \mu W} \quad \wedge \quad P_{out, 1550} = \boxed{25.12 \mu W}$$

$$3.13: \quad \sigma_{mat} = D_{mat} \cdot L \cdot \sigma_{\lambda} \quad \wedge \quad D_{mat} \text{ found from graph.}$$

$$a) \quad D_{mat, 850} \approx -75, \text{ assuming quenched SiO}_2 \rightarrow \sigma_{mat} = \boxed{3.375 \text{ ns/km}} \quad \text{LED}$$

$$\wedge \sigma_{mat} = \boxed{0.15 \text{ ns/km}} \quad \text{LD}$$

$$b) \quad D_{mat, 1550} \approx 20 \rightarrow \sigma_{mat} = \boxed{1.5 \text{ ns/km}}$$

$$3.16: \quad \Delta = \frac{n_1 - n_2}{n_1} \quad \wedge \quad \text{eq.} \quad \sigma_{\lambda} \approx \frac{L n_1 \Delta}{2\sqrt{3} L}$$

$$\rightarrow \sigma_{\lambda} \text{ from approximate expression: } \boxed{\frac{\sigma_{\lambda}}{L} \approx 21.36 \text{ ns/km}}$$

$$\text{exact eq.} \quad \frac{\sigma_{mat}}{L} = \frac{n_1 - n_2}{L} \left(1 - \frac{\pi}{V}\right), \quad n_1 - n_2 = n_1 \Delta, \quad V = \frac{2\pi a}{\lambda} \cdot n_1 (2\Delta)^{1/2} = 76.844$$

$$\rightarrow \boxed{\frac{\sigma_{mat}}{L} = 70.97 \text{ ns/km}}$$

- These two equations produce significantly different results.

$$3.22: \quad D_{wg} = -\frac{n_2 \Delta}{c\lambda} \cdot V \cdot \frac{d^2(V^2)}{dV^2}, \quad \text{at } \lambda = 1320 \text{ nm} \quad V = \frac{2\pi a}{\lambda} n_1 (2\Delta)^{1/2} = 4.205$$

not single mode! at $\lambda = 1320 \text{ nm}$, $a < 5.15 \mu\text{m}$ for single mode.

$$\text{assuming } a = 5 \mu\text{m} \rightarrow V = 2.336 \rightarrow V \cdot \frac{d^2(V^2)}{dV^2} \approx 0.3$$

$$\therefore D_{wg} = -\frac{n_2 \Delta}{c\lambda} \cdot 0.3 \quad \wedge \quad n_2 = 1.4769 \rightarrow D_{wg} = -2.46 \text{ ps/(nm.km)}$$

EE559: homework #3

4.3: for $G_{0.1-x} Al_x As_x$, $E_g = 1.424 + 1.266x + 0.266x^2$

(a) if $E_g = 1.54 \text{ eV} \rightarrow$

$x = 0.0899$ by solving $0.266x^2 + 1.266x + 1.424 - E_g = 0$

$E_g = h\nu \rightarrow \nu = \frac{E_g}{h} \rightarrow \lambda = \frac{hc}{E_g} = 0.809 \mu\text{m}$

(b) for $x = 0.015$, $E_g = 1.443 \text{ eV}$, $\lambda = 0.859 \mu\text{m}$

4.6: (a) $\eta_{int} = \frac{T_{in}}{T_n + T_{in}} = \frac{90}{90 + 25} = 78\%$

$P_{int} = \eta_{int} \cdot \frac{I}{q} \cdot h\nu$, $\nu = \frac{c}{\lambda} \rightarrow P_{int} = 29.8 \text{ mW}$

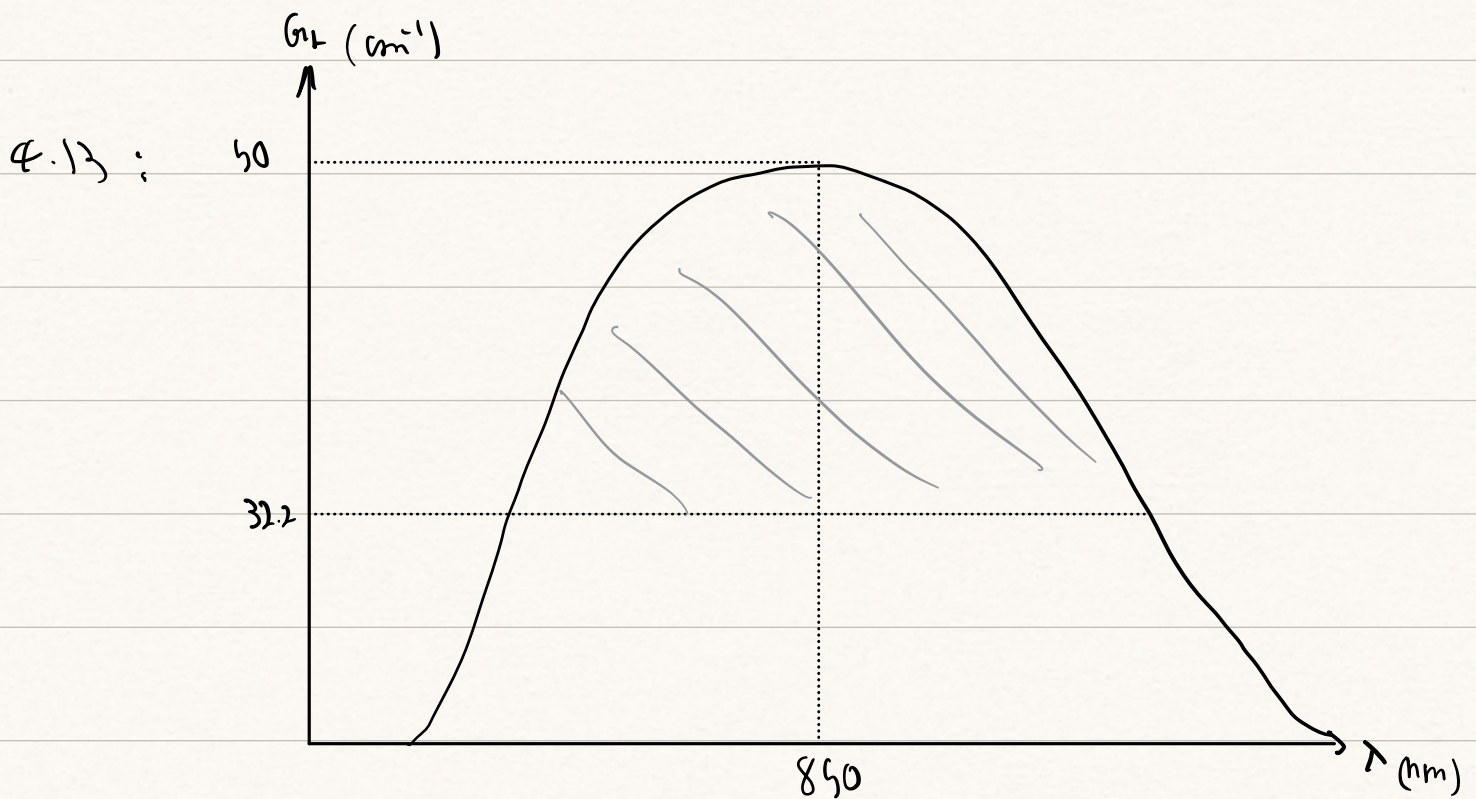
(b) $P = P_{int} \cdot \eta_{ext}$, $\eta_{ext} = \frac{1}{n_i(n_i + 1)^2} = 14.1\% \rightarrow P = 0.364 \text{ mW}$

4.9: (a) $\Gamma = 1$
 $\eta_{th} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{R_1 R_2}\right) \rightarrow \eta_{th} = 32.78 \text{ cm}^{-1}$

(b) $\eta_{th} = \alpha + \frac{1}{2L} \ln(0.32 \cdot 0.9) = 22.46 \text{ cm}^{-1}$

(c) $\eta_{ext} = \frac{\eta_i(\eta_{th} - \alpha)}{\eta_{th}} \rightarrow \eta_{ext}(\alpha) = 45.17\%$

$\eta_{ext}(\alpha) = 36.04\%$



$$g_{th} = g(0) \cdot e^{\left(\frac{-(\lambda - \lambda_0)^2}{2\sigma^2}\right)} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{R_1 R_2}\right)$$

$$\rightarrow \frac{-(\lambda - \lambda_0)^2}{2\sigma^2} = \ln\left[\frac{\alpha}{g(0)}\right] \quad R_1 = R_2 = 0.32$$

$$\rightarrow \lambda_1 - \lambda_0 = 30 \text{ nm} \quad \rightarrow \Delta\lambda = \frac{(850)^2}{2 \cdot 400000 \cdot 3.6} = 0.25$$

$$\therefore \frac{2(\lambda_1 - \lambda_0)}{\Delta\lambda} = 239 \text{ modes}$$

4.15: (a) $\because g_{th} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{R_1 R_2}\right) = A_0 J_{th} \quad R_1 = R_2 = 0.32$

$$\rightarrow J_{th} = 2646.5 \text{ A/cm}^2$$

$$\therefore I_{th} = J_{th} \cdot \text{Area} = 0.661 \text{ A}$$

(b) $I_{th} = \frac{0.661}{10} = 0.0661 \text{ A}$

Quiz practice:

Characteristics of light sources:

1) high switching speed, 2) long lifetime, 3) low cost

Coherent light is directional same freq. same phase same polarization

$$g = 0.85$$

$$E_g = 0.809 \text{ eV} \rightarrow \lambda(\text{nm}) = 1.532 \text{ nm}$$

Second preparation:

+ required characteristics of optical source:

- 1- driven by current
- 2- wavelength in low loss window
- 3- beam must be directional
- 4- size compatible
- 5- small spectral width
- 6- high switching speed
- 7- high optical power (radiance)
- 8- linear (with input power)
- 9- long lifetime
- 10- high efficiency

- LED: spontaneous emission, incoherent, not directional, large spectral width

- LD: stimulated emission, coherent, directional, small spectral width

- concentration of free electrons in the valence band (concentration of holes in the conduction band) is:

$$n = p = n_i = K \exp\left(-\frac{E_g}{2k_B T}\right), \quad K = 2 \left(2\pi k_B T / h^2\right)^{3/2} \cdot (m_e m_h)^{3/4}$$

$$E_g = h\nu = \frac{hc}{\lambda}$$

+ LED characteristics required:

- 1- high radiance output,
- 2- fast emission response time,
- 3- high quantum efficiency

+ characteristics can be achieved by confining one or more of the following: 1- carrier, 2- optical, 3- current

1- carrier: by giving recombination region minimum bandgap energy

2- optical: refractive index of recombination region is greater than others

3- current: by making the depletion region narrower such that the current is forced through a certain area.

+ types of LEDs:

SLED

1- easy to fabricate, 2- easy to mount

3- less critical tolerance, 4- less reliable

5- low performance, 6- smaller BW

7- less power coupled

ELED

1- difficult to fabricate, 2- difficult to mount

3- negetical tolerance, 4- highly reliable

5- high performance, 6- better BW

7- more power coupled

$\text{In}_{1-x}\text{Ga}_x\text{As}_y\text{P}_{1-y}$: 1000 - 1700 nm

$\text{Ga}_{1-x}\text{Al}_x\text{As}$: 800 - 900 nm

GaAs , GaSh , InAs , etc.: fixed wavelength

- ternary: $E_g = 1.424 + 1.266x + 0.266x^2$ eV

- quaternary: $y = 2.2x \rightarrow E_g = 1.35 - 0.92y + 0.12y^2$ eV

$n(t) = n_0 e^{-t/\tau}$, n_0 initial value of electron concentration

recombination rate: $R = \frac{dn}{dt} = -\frac{1}{\tau} n_0 e^{-t/\tau} = -\frac{n(t)}{\tau}$

injection rate: $\frac{J}{q \cdot d}$

carrier recombination: $\frac{dn}{dt} = \frac{J}{q \cdot d} - \frac{n}{\tau}$ (photons/second)

equilibrium: $\frac{dn}{dt} = 0 \rightarrow n = \frac{JI}{q \cdot d}$ electrons/cm³

- quantum efficiency is ratio of radiative recombination to total (radiative + nonradiative)

internal quantum efficiency: $\eta_{int} = \frac{R_r}{R_r + R_{nr}} = \frac{T_{nr}}{T_r + T_{nr}}$

total recombination rate: $R_r + R_{nr} = I/q$ electrons/s

internal power generated: $P_{int} = \eta_{int} \cdot \frac{I}{q} \cdot h\nu = \eta_{int} \frac{hcI}{q \cdot \lambda}$ W

$\eta_{ext} = \frac{n_2}{n_1(n_1 + n_2)^2}$, if interface is air, $n_2 = 1 \rightarrow \eta_{ext} = \frac{1}{n_1(n_1 + 1)^2}$

output power = $\eta_{ext} \cdot P_{int} = \eta_{ext} \cdot \eta_{int} \cdot \frac{hcI}{q \cdot \lambda}$ W

- commonly used modulation techniques cannot be used with LED

optical sources due to the large spectral width (not single wavelength), but

may be used with coherent sources (like LDs)

+ LED response time depends on:

- 1- injected carrier lifetime τ_i
- 2- parasitic capacitance
- 3- doping level of active region

output power as function of frequency: $P(\omega) = P_0 [1 + (\omega\tau_i)^2]^{-\frac{1}{2}}$

+ LED advantages:

- 1- simple to design and manufacture
- 2- low cost
- 3- high reliability
- 4- long lifetime
- 5- less sensitive to temperature than LDs

+ LED disadvantages:

- 1- low coupling efficiency
- 2- large spectral width (chromatic dispersion)
- 3- low switching speed

+ LED uses:

- 1- short range optical links
- 2- digital systems up to 200 Mb/s
- 3- multimode optical links

+ laser characteristics:

- spatial and temporal coherence
- small spectral width (monochromatic)
- high directivity and intensity
- small beam cross section

+ conditions for lasing:

- 1- active gain, more electrons in conduction band than valence
- 2- carrier population inversion, leads to higher number of electrons producing photons
- 3- positive feedback

* Fabry-perot cavity: two parallel mirrors positive feedback

* Bragg gratings: distributed feedback by selecting spacing between gratings for specific wavelength gain.

Fabry-perot:

$$I(z) = I(0) \exp([\Gamma g(\hbar\nu) - \alpha(\hbar\nu)]z)$$

light intensity optical field confinement factor absorption coefficient gain coefficient

for a round trip:

$$I(2L) = I(0) R_1 R_2 e^{2L[\Gamma g(\hbar\nu) - \alpha(\hbar\nu)]}$$

length of cavity

$$R_1 = \left(\frac{n_1 - n_2}{n_1 + n_2}\right)^2, \quad R_2 = \left(\frac{n_3 - n_2}{n_3 + n_2}\right)^2$$

n_2 inside active medium power reflection coefficient

* gain: number of photons generated from one photon's round trip.

at lasing threshold: $I(2L) = I(0) \rightarrow \ln\left(\frac{1}{R_1 R_2}\right) = [\Gamma g(\hbar\nu) - \alpha(\hbar\nu)] \cdot 2L$

$$\therefore \Gamma_{\text{eff}} = \alpha + \frac{1}{2L} \cdot \ln\left(\frac{1}{R_1 R_2}\right)$$

- for lasing, $\Gamma_{\text{eff}} > \alpha$

phase condition: $2\pi L = 2\pi m$, $m=0, 1, 2, \dots$

to determine L $\therefore E(\beta, t) = A(\beta) e^{i(\omega t - \beta z)} \rightarrow e^{-i2\beta L} = 1$

$$\Gamma_{\text{eff}} = \underbrace{\gamma}_{\text{some constant}} J_{\text{th}} \rightarrow \Gamma_{\text{eff}} \propto J_{\text{th}} \quad (\Gamma_{\text{eff}} \text{ in cm}^{-1})$$

$$I_{\text{th}} = J_{\text{th}} \cdot A_{\text{area}}$$

- if $I < I_{\text{th}} \rightarrow$ LED, if $I > I_{\text{th}} \rightarrow$ LD

- I_{th} should be minimized to reduce heating and power

rate equation: $\frac{d\phi}{dt} = C n \phi + R_{\text{sp}} - \frac{\phi}{T_{\text{ph}}}$

stimulated spontaneous Absorption $\phi = \text{photon density}$

electron density $\frac{dn}{dt} = \frac{J}{q d} - \frac{n}{T_{\text{sp}}} - C n \phi$

- if operating above I_{th} , both rate equations equal zero

$$R_{\text{sp}} - \frac{\phi}{T_{\text{ph}}} = \frac{J}{q d} - \frac{n}{T_{\text{sp}}} \rightarrow \phi = \left(R_{\text{sp}} + \frac{n}{T_{\text{sp}}} - \frac{J}{q d} \right) \cdot T_{\text{ph}}$$

$$\rightarrow \phi_{\text{st}} = \frac{T_{\text{ph}}}{q d} (J - J_{\text{th}}) + T_{\text{ph}} R_{\text{sp}}$$

$$\therefore P_{\text{int}} = \phi_{\text{st}} \cdot h\nu \cdot \text{volume of laser}$$

$$\rightarrow P_{\text{int}} = \frac{T_{\text{ph}}}{q d} (J - J_{\text{th}}) \cdot h\nu \cdot L \cdot W \cdot d = \frac{T_{\text{ph}}}{q} \cdot (I - I_{\text{th}}) \cdot h\nu$$

$$\therefore P = \eta_{\text{ext}} P_{\text{int}} = \frac{\eta_{\text{int}} (\Gamma_{\text{th}} - \alpha)}{\Gamma_{\text{th}}} P_{\text{int}}, \quad \eta_{\text{int}} \sim 0.6 - 0.9$$

- Resonant modes must satisfy the phase condition

$$\circ \circ \quad 2\beta L = 2\pi m \quad \text{or} \quad \beta = \frac{2\pi n}{\lambda} = \frac{2\pi n \nu}{c}$$

$$\rightarrow \frac{4\pi n \nu L}{c} = 2\pi m$$

$$\therefore \text{Resonant frequencies: } \nu_m = m \frac{c}{2Ln} \rightarrow \Delta\nu = \frac{c}{2L}$$

$$\Delta\lambda = \frac{\lambda_0^2}{c} \Delta\nu = \frac{\lambda_0^2}{2Ln}$$

optical gain: $g(\lambda) = g(\lambda_0) \exp\left[-\frac{(\lambda - \lambda_0)^2}{2\sigma^2}\right]$ σ: spectral width of gain

for lasing modes: $g_m = g(\lambda_0) \exp\left[-\frac{(\lambda_1 - \lambda_0)^2}{2\sigma^2}\right]$

number of modes: $\frac{2(\lambda_1 - \lambda_0)}{\Delta\lambda}$

+ for single mode:

1 - L is reduced to make $\Delta\nu$ large $\circ \circ \quad \Delta\nu = \frac{c}{2Ln}$

2 - g_{th} increased, but requires more current than impractical

3 - using distributed feedback to filter out modes

Bragg wavelength: $\lambda_B = \frac{2n_e \Lambda}{k}$ relative refractive index, period, external gain

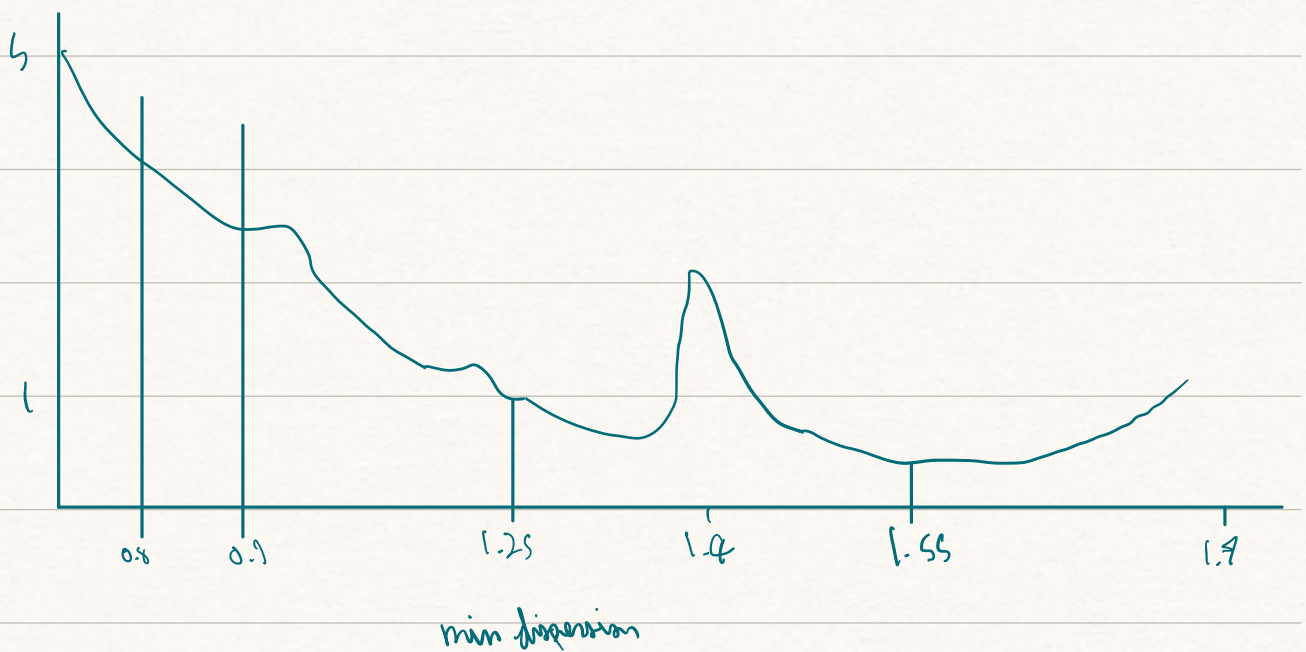
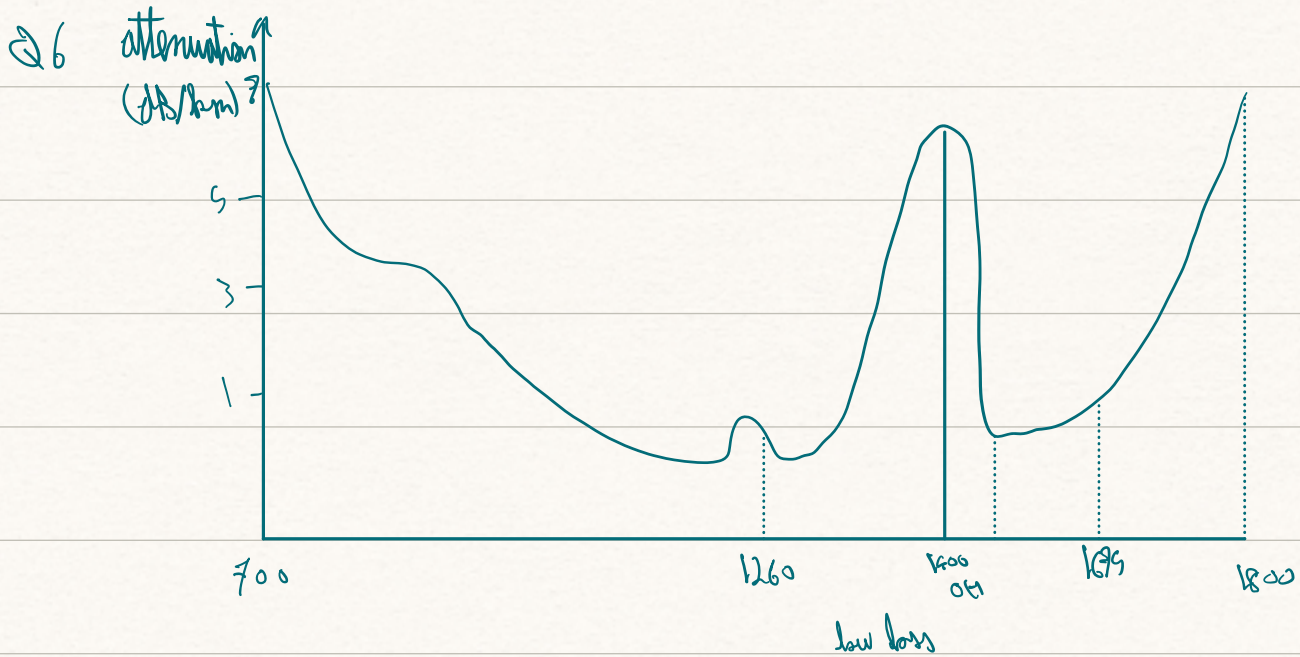
LD modulation:

1- direct (with current switching) with beam splitters and combiners and phase shifters 2- external (external on-off switch)

- Temperature affects LDs greatly, for a certain output power more current is needed for higher temperatures

$$I_{th} = I_z e^{TK_0}$$
relative temperature insensitivity

mid 2021



- ⊙ original band: 1260 - 1360 nm | extended e Band: 1360 - 1410 nm
- ⌋ short band: 1460 - 1530 nm | conventional band: 1530 - 1565 nm
- L long band: 1565 - 1625 nm | U ultra long: 1625 - 1675 nm

Q7: $P_{out} \text{ dBm} = P_{in} \text{ dBm} - 0.2 \cdot 50 =$

$-3 - 10 = -13 \text{ dBm} = 50 \mu\text{W}$

Q8: 1- intermodal dispersion (modal delay)

2- intramodal dispersion (chromatic dispersion)

3- polarization ^{mode} dispersion

+ types of single mode fibers:

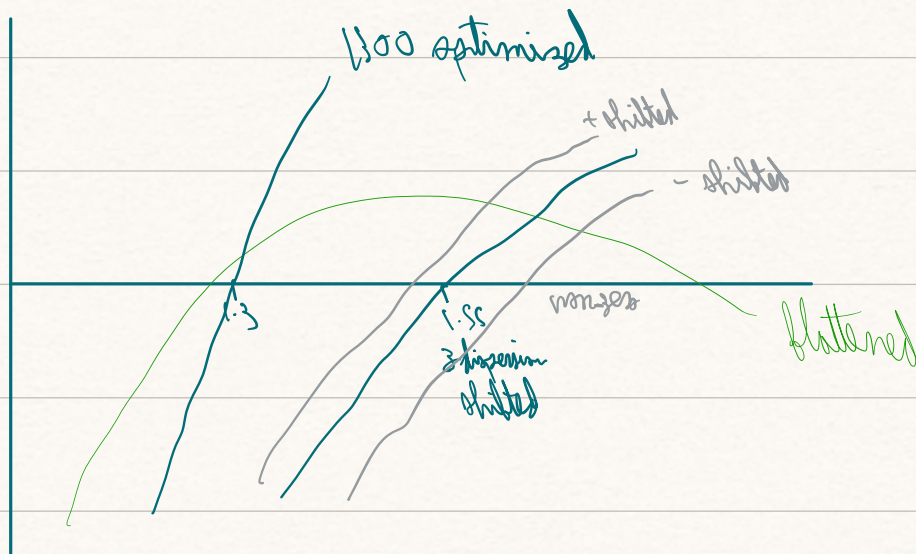
1- 1300 nm optimized single-mode zero dispersion at 1300 nm but high loss

2- zero dispersion shifted : zero dispersion and low loss at 1550 ^{intermodulation distortion}

3- non-zero dispersion shifted : zero dispersion shifted from 1550 nm ^{lower loss wave mixing}

4- dispersion-flattened : very low dispersion over second and third windows

5- dispersion compensating fiber : negative dispersion to compensate



Q9: ^{standard} $B \cdot L = \frac{2\sqrt{3} C}{n_1 \cdot \Delta}$ step = 3.534×10^7

$$\Delta = \frac{n_1 - n_2}{m} \quad B \cdot L \text{ graded} = \frac{2\sqrt{3} C}{n_1 \Delta^2} = 1.967 \times 10^9$$

$$B \text{ step} = 706.8 \text{ kbps}, \quad B \text{ graded} = 35.34 \text{ Mbps}$$

Q10: $\sigma_{\text{chrom}} = L \sigma_\lambda [D_{\text{mg}} + D_{\text{mat}}]$

$$n_2 = n_1 \left(1 - \frac{0.5}{100}\right) \rightarrow n_2 = 1.65 \text{ GHz}$$

$$D_{\text{mat}} = 5, \quad D_{\text{mg}} = - \frac{\Delta n_2}{c \lambda} V \frac{dV/dt}{V^2}$$

$$\rightarrow D_{\text{mg}} = - \frac{0.5}{100} \cdot \frac{n_2}{c \lambda} \cdot 0.4 = -6.25 \text{ ps/nm.km}$$

$$\sigma_{\text{chrom}} = 100 \cdot 1 \cdot (-125) = -125 \text{ ps}$$

$$\text{max bit rate} = \frac{1}{|\sigma_{\text{chrom}}|} = 8 \text{ Gbps}, \quad B \cdot L \text{ max} = 800 \text{ W/km}$$

Second 2015

Q1: 1- light source must be driven by current

2- wavelength must be in low loss window

3- beam must be directional

4- size of light source must be compatible with fiber

5- optical carrier must have small spectral width

LED light is incoherent, whereas LD light is coherent.

Q2: GaAlAs : 800 - 900 nm

In GaAsP : 1000 - 1700 nm

GaAl, InAs, etc. : fixed wavelength

- Ge and Si have indirect bandgaps and cannot be efficiently used.

Q3: 1- carrier population inversion, 2- active gain

3- positive feedback

- double heterostructure is used for carrier confinement.

Q4: $y = 2.2x \quad \wedge \quad E_g = 1.35 - 0.72y + 0.12y^2$

$\rightarrow E_g = 1.125 \text{ eV}$

$\therefore \lambda (\mu\text{m}) = 1.24 / E_g (\text{eV}) \quad \rightarrow \quad \lambda = 1.102 \mu\text{m}$

$\rightarrow \text{photon energy} = h \frac{c}{\lambda} = 1.804 \times 10^{-19} \text{ J}$

$\rightarrow \text{external power} = \eta_{\text{ext}} \cdot P_{\text{int}} = \eta_{\text{ext}} \cdot \eta_{\text{int}} \cdot \frac{I}{q} \cdot E_g$

$\therefore \eta_{\text{ext}} = \frac{1}{n_i(n_i+1)^2} \quad \wedge \quad \eta_{\text{int}} = \frac{T_m}{T_m + t_n}$

$\therefore \text{external power} = 0.254 \text{ mW}$

Q5: $\Gamma g_{\text{th}} = \alpha + \frac{1}{2L} \ln \left(\frac{1}{R_1 R_2} \right)$

$\rightarrow g_{\text{th}} = 39.84 \text{ cm}^{-1}$

$\therefore g_{\text{th}} = A \cdot J_{\text{th}} = A \cdot \frac{I_{\text{th}}}{L \cdot W} \quad \rightarrow \quad I_{\text{th}} = 99.6 \text{ mA}$

note: $A = 0.02 \text{ cm/A}$

number of excited modes: $\frac{2(\lambda_1 - \lambda_0)}{\Delta \lambda}$

$\therefore \Delta \lambda = \frac{\lambda_0^2}{2Ln} \quad \wedge \quad g_{\text{th}} = g(0) e^{-\frac{(\lambda_1 - \lambda_0)^2}{2\sigma^2}}$

$\rightarrow \Delta \lambda = 0.686 \text{ nm}$

$\rightarrow \lambda_1 - \lambda_0 = 3.39 \text{ nm}$

$\therefore \text{number of excited modes} = 9 \text{ (round down)}$

for single mode: $\Delta \lambda = 2(\lambda_1 - \lambda_0) \quad \rightarrow \quad 2Ln = \frac{\lambda_0^2}{2(\lambda_1 - \lambda_0)}$

$\therefore L = 50.9 \mu\text{m}$

Q6 → 8:

N/A

3 dB → half power

$$Q9: \because B(\theta, \phi) = B_0 \cos(\theta) \rightarrow \frac{1}{2} B_0 = B_0 \cos(\theta) \rightarrow \theta = 60^\circ$$

$$\text{beamwidth} = 2\theta = 120^\circ$$

$$\text{lateral} \rightarrow \phi = 0 \quad \therefore \frac{1}{B(\theta, \phi)} = \frac{\sin^2(\theta)}{B_0 \cos^2(\theta)} + \frac{\cos^2 \phi}{B_0 \cos^2(\theta)}$$

$$\text{half power: } 5^\circ \rightarrow \theta = 2.5^\circ \quad \therefore \frac{1}{B(2.5, 0)} = 0 + \frac{1}{B_0 \cos^2(\theta)}$$

$$\rightarrow \frac{1}{2} B_0 = B_0 \cos^2(\theta) \rightarrow L = \log \cos\left(\frac{1}{\sqrt{2}}\right)$$

$$\therefore L = 727.9 \quad \text{not included, I think}$$

Q10: N/A

Second 2014

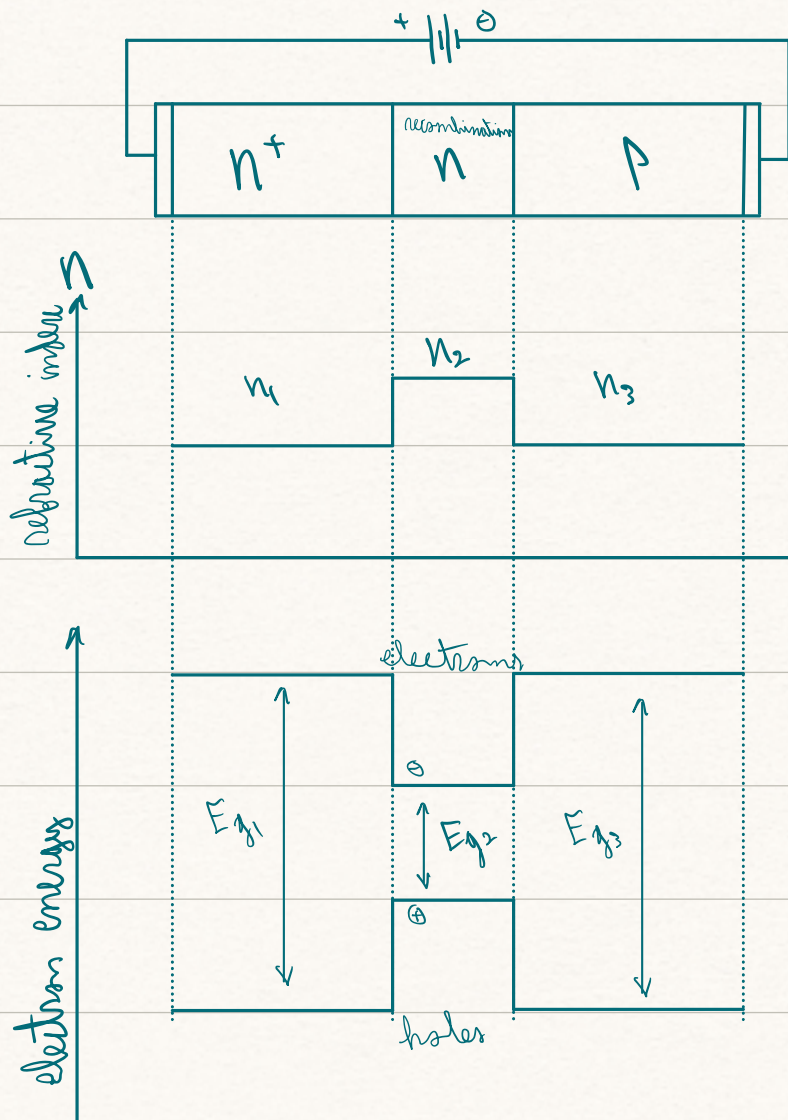
Q1: LED:

- + advantages: simple to design and manufacture, low cost, less sensitive to temp.
- + disadvantages: low coupling efficiency, large chromatic dispersion

LDs:

- + advantages: low chromatic dispersion, high coupling efficiency, high bandwidth
- + disadvantages: high temperature sensitivity, difficult to manufacture

Q2:



This structure is used to confine the carrier within the recombination region.

$$n_2 > n_1, n_3$$

$$E_{g2} < E_{g1}, E_{g3}$$

Q3: 1- active gain, 2- carrier population inversion, 3- positive feedback

confinement methods: 1- carrier, 2- optical, 3- current

Q4: $\therefore E_g = 1.35 - 0.92y + 0.12y^2$ $\wedge y = 2.2x \rightarrow E_g = 1.1255 \text{ eV}$

$\therefore E_g = h\nu = h \frac{c}{\lambda} \rightarrow \lambda = \frac{hc}{E_g} \rightarrow \lambda = 1.102 \mu\text{m}$

$\therefore n_{\text{int}} = \frac{T_{\text{in}}}{T_{\text{in}} + T_{\text{r}}} \wedge P_{\text{int}} = n_{\text{int}} \cdot \frac{I}{q} \cdot E_g \rightarrow P_{\text{int}} = 22.51 \text{ mW}$

$\wedge n_{\text{ext}} = \frac{n_r}{n_r(n_r + n_s)^2}$, emitted to air implies $n_r = 1 \therefore n_{\text{ext}} = \frac{1}{n_r(n_r + 1)^2}$

$\rightarrow P_{\text{ext}} = n_{\text{ext}} \cdot P_{\text{int}} = 317.6 \mu\text{W}$

Q5: $\therefore g_{\text{th}} = \frac{1}{L} \left[\alpha + \frac{1}{2L} \ln \left(\frac{1}{R_1 R_2} \right) \right] = 55.67 \text{ cm}^{-1}$

$\therefore g_{\text{th}} = A \cdot J_{\text{th}} \wedge J_{\text{th}} = \frac{I_{\text{th}}}{L \cdot w}$, $A = 0.02 \text{ cm/A}$

$\rightarrow I_{\text{th}} = 111.3 \text{ mA}$

$\therefore g_{\text{th}} = g(0) e^{\frac{-(\lambda_1 - \lambda_0)^2}{2\sigma^2}} \rightarrow \frac{-(\lambda_1 - \lambda_0)^2}{2\sigma^2} = \ln \left(\frac{g_{\text{th}}}{g(0)} \right)$

$\rightarrow \lambda_1 - \lambda_0 = 2.32 \text{ nm}$

$\wedge \Delta\lambda = \frac{\lambda_0^2}{2Ln}$, $n = 3.5 \rightarrow \Delta\lambda = 0.258 \text{ nm}$

\therefore number of modes: $\frac{2(\lambda_1 - \lambda_0)}{\Delta\lambda} = 17$

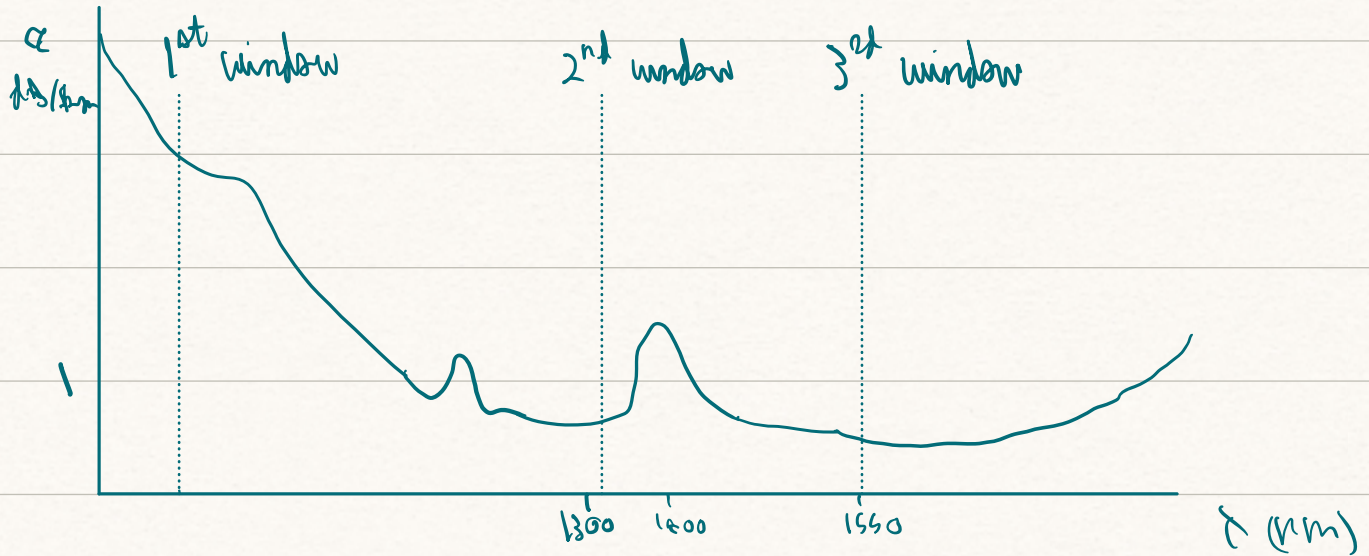
Q6 \rightarrow w: N/A

Second 2012

Q1: 1- absorption, 2- scattering, 3- Radiative

extrinsic absorption: caused by impurities in the glass.

intrinsic absorption: caused by normal fiber material.



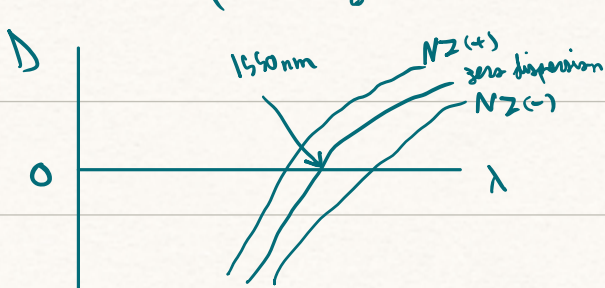
Q2: $P_{out} = P_{in} - \alpha \cdot L \rightarrow P_{in} = P_{out} + \alpha \cdot L$

$\therefore P_{in} = 1 \text{ mW}$

Q3: 1- intermodal dispersion (modal delay)

2- intramodal (chromatic) dispersion

3- polarization mode dispersion



designed by double cladding and careful selection of refractive indices of cladding material.

$$Q4: \Delta d_{chrom} = \Delta n_{mat} + \Delta n_{veg} \rightarrow \sigma_{chrom} = \Delta d_{chrom} \cdot L \cdot \sigma_{\lambda}$$

$$\Delta n_{veg} = -4.29 \text{ ps}/(\text{nm} \cdot \text{km}) \rightarrow \Delta d_{chrom} = 5.71 \text{ ps}/(\text{nm} \cdot \text{km})$$

$$\therefore \sigma_{chrom} = 571 \text{ ps}$$

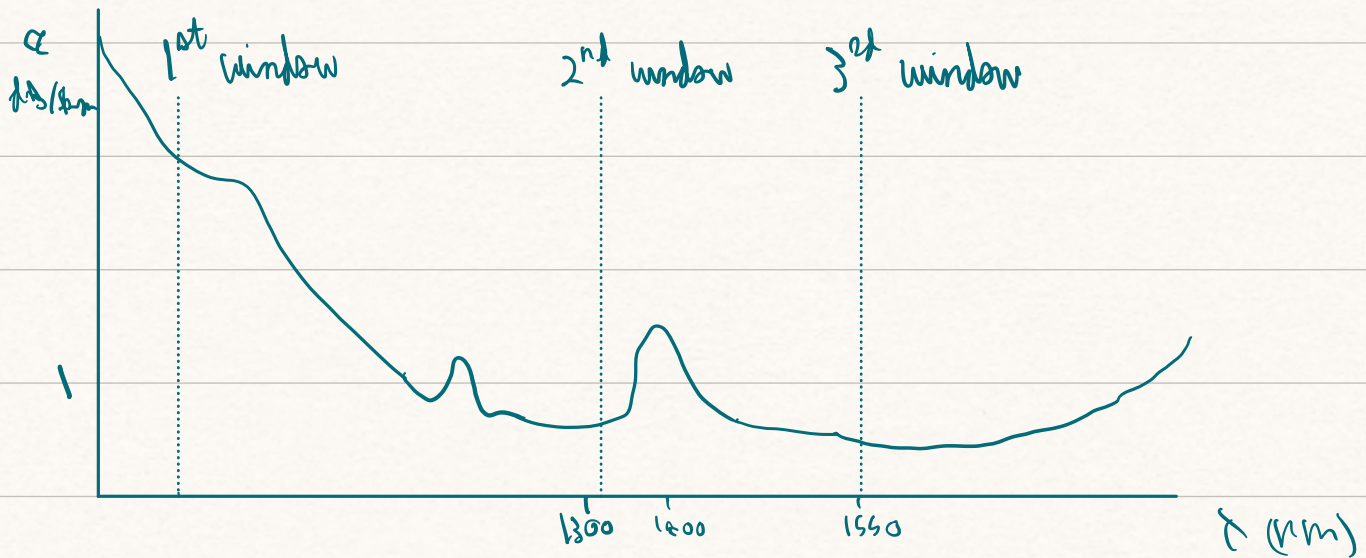
$$B \cdot L = \frac{L}{\sigma_{chrom}} = 175 \text{ GHz} \cdot \text{km}$$

Second 2012

Q1: 1- absorption, 2- scattering, 3- Radiative

extrinsic absorption: caused by impurities in the glass.

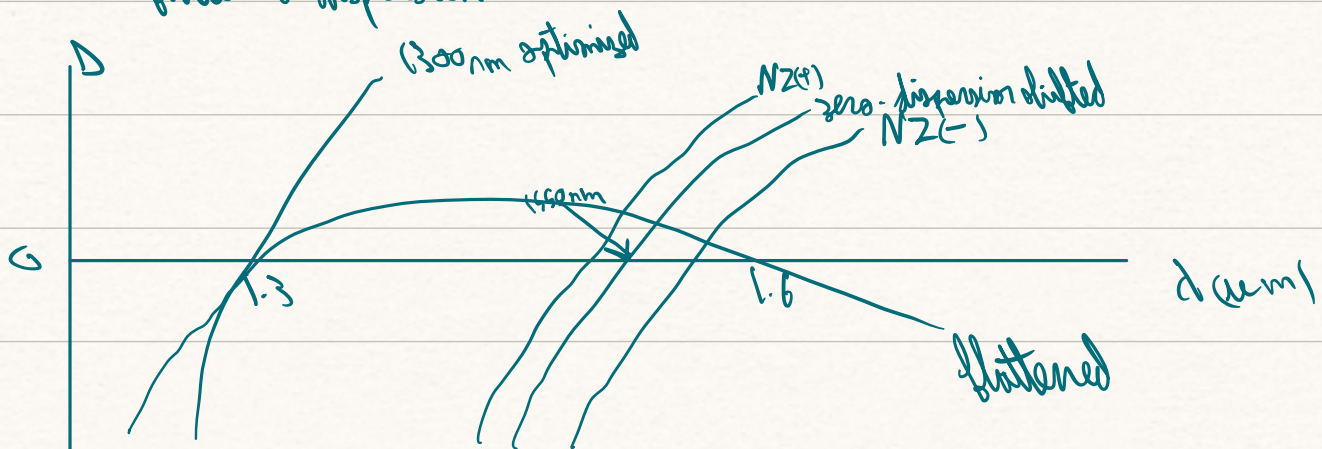
intrinsic absorption: caused by normal fiber material.



Q2: $P_{out} = P_{in} - \alpha \cdot L = 1.58 \mu W$

Q3: 1- 1300 nm optimized, 2- dispersion shifted,

3- flattened dispersion



Q4: $D_{chom} = D_{mat} + D_{wg}$, $D_{wg} = \frac{-n_1 \Delta}{\lambda c} \cdot 0.5$

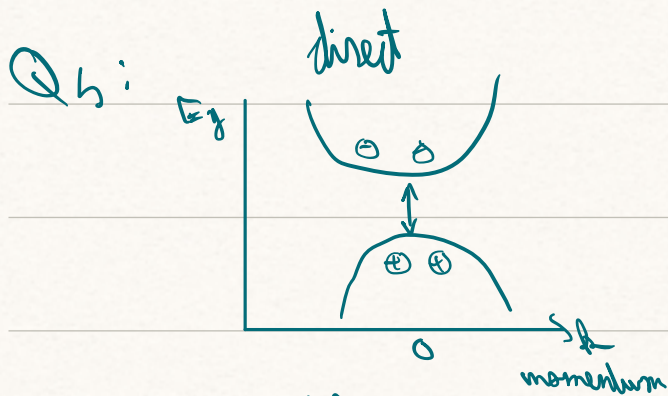
$\rightarrow \sigma_{chom} = D_{chom} \cdot L \cdot \sigma_{\lambda} = -72 \text{ ps}$

$\circ \circ$ single mode step $\rightarrow V < 2.405 \rightarrow \frac{2\pi a}{\lambda} \cdot n_1 (2\Delta)^{1/2} < 2.405$

$\therefore \lambda_c = \frac{2\pi a \cdot n_1 \cdot (2\Delta)^{1/2}}{2.405} = 1.59 \mu\text{m}$

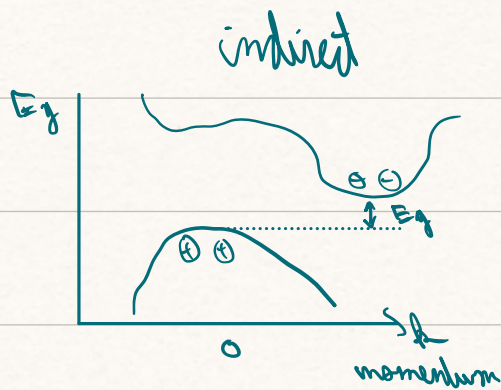
$B \cdot L = \frac{L}{\sigma_{chom}} = 1.38 \text{ THz} \cdot \mu\text{m}$

$\rightarrow B \text{ for } 50 \mu\text{m} = \frac{1.38}{50} = 27.8 \text{ GHz}$



GaAs

InGaAsP



Si

Ge

- direct bandgap material we used

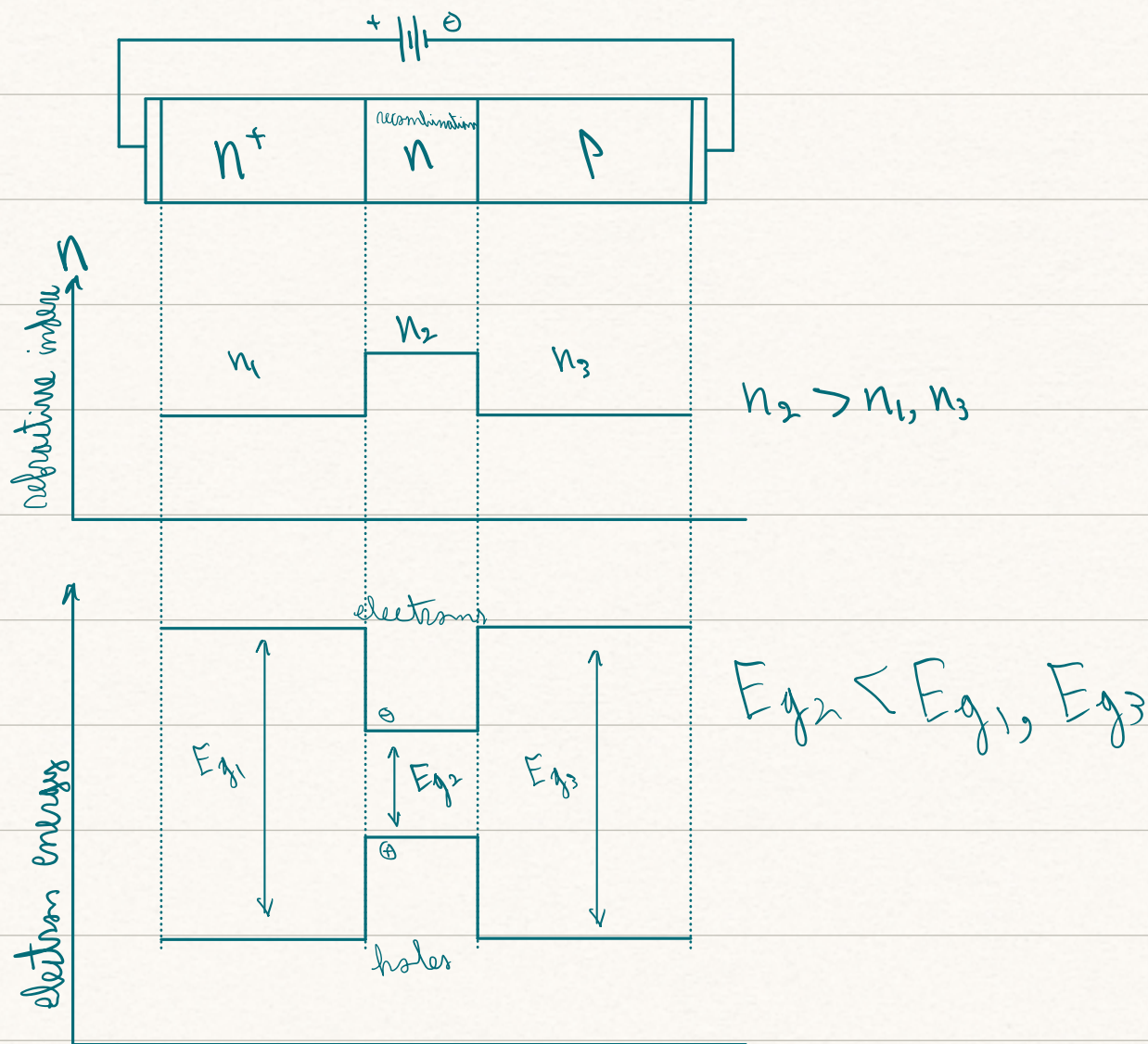
Q7: 1- active gain, 2- carrier population inversion, 3- positive feedback

1- carrier, 2- optical, 3- current - confinement

Q8: 1- LED emits incoherent light (LD coherent)

2- LED emits spontaneously, 3- LED large spectral width

Q6:



$$Q9: E_g = \frac{1.29}{\lambda(\text{nm})} = 0.8 \text{ eV}$$

$$\circ \circ E_g = 1.35 - 0.92y + 0.12y^2 \rightarrow y = 0.898$$

$$\circ \circ y = 2.2x \rightarrow x = 0.408$$

$$P_{\text{int}} = \eta_{\text{int}} \cdot \frac{I}{q} \cdot E_g \quad \wedge \quad \eta_{\text{int}} = \frac{T_{\text{int}}}{T_{\text{int}} + T_{\text{r}}} \quad \therefore P_{\text{int}} = 12.8 \text{ mW}$$

$$P_{\text{ext}} = \eta_{\text{ext}} \cdot P_{\text{int}}, \quad \eta_{\text{ext}} = \frac{1}{n(n+1)^2} \quad \therefore P_{\text{ext}} = 180.6 \text{ mW}$$

$$Q10: \Gamma_{gth} = \alpha + \frac{1}{2V} \ln\left(\frac{A_1}{A_2}\right) \rightarrow g_{th} = 25.99 \text{ cm}^{-1}$$

$$g_{th} = \alpha \cdot I_{th} \rightarrow I_{th} = 1.299 \text{ A}$$

$$\ln\left(\frac{g_{th}}{g_{cs}}\right) = \frac{-(\lambda - \lambda_0)^2}{2\lambda^2} \rightarrow \lambda_1 - \lambda_0 = 4.98 \text{ nm}$$

$$\Delta \lambda = \frac{\lambda_0^2}{2Ln} , n \text{ not given. can be solved with assumptions}$$

$$R_2 = 0.9 = \left(\frac{1-n}{1+n}\right)^2 \rightarrow n = 5.828$$

$$\therefore \Delta \lambda = 0.124 \text{ nm} \rightarrow \text{number of modes: } \boxed{73}$$

Chapter 6:

* photodetector requirements:

- 1- high responsivity and sensitivity in low-loss region
- 2- high response time or bandwidth to handle required data rate.
- 3- low noise
- 4- high linearity and dynamic range
- 5- small size, long lifetime, low cost.

Types of detectors:

- photodiodes: compact, fast, linear, quantum efficient, high dynamic range
 - metal-semiconductor-metal (MSM): faster than photodiodes
 - phototransistors: similar to photodiodes but internally amplify photocurrent
 - photoconductive detectors: cheaper than PDs, slower, less sensitive, nonlinear
 - phototubes: photoelectric effect
- Two types of photodiodes used exclusively as optical receivers: PIN and APD
- incident optical power absorbed: $P(x) = P_{in} e^{-\alpha x}$
 α : distance, α_0 : absorption coefficient
 - for depletion region of length w : $P(w) = P_{in} (1 - e^{-\alpha_0 w})$
 - direct bandgap gives lower transit time \rightarrow higher bandwidth

- quantum efficiency: $\frac{I_p/q}{P_{in}/h\nu} = \frac{h\nu}{q} \cdot \frac{I_p}{P_{in}} = \eta$

number of generated electrons

number of incident photons

- responsivity: $R = \frac{I_p}{P_{in}} = \frac{\eta q}{h\nu} \text{ (A/W)}$

$\rightarrow I_p = \frac{\eta q \lambda}{h c} \cdot P_{in}$

- multiplication gain: $M = \frac{I_m}{I_p}$

- responsivity of APD = responsivity of PIN multiplied by gain

$R_{APD} = M \cdot R = M \cdot \frac{\eta q \lambda}{h c} \text{ (A/W)}$

- primary photocurrent: $i_p(t) = \overset{\text{responsivity}}{R} \cdot P(t)$

$(i_p(t))^2 \propto \sigma_{D, PIN}^2$

$(i_p(t))^2 \cdot M^2 \propto \sigma_{D, APD}^2$

- shot noise power: $\sigma_{shot}^2 = 2 q I_p M^2 \cdot F(M) B e$

$F(M) = M^2 \rightarrow \sigma_{shot}^2 \text{ for PIN} = 2 q I_p B e$

- dark current power: $\sigma_{DB}^2 = 2 q I_D M^2 F(M) B e \text{ (A}^2\text{)}$

- dark surface current: $\sigma_{DB}^2 = 2 q I_s B e$, not multiplied

- Thermal noise: $\sigma_T^2 = \frac{4 k_B T}{R_L} \cdot B e \text{ (A}^2\text{)}$

EE 555: homework #4

6.6: Compare noise from dark current, dark surface current, and shot noise.

$$\circ \circ P_{in} = 500 \text{ nW} \text{ and } \eta = 0.95 \Rightarrow I_p = 0.593 \text{ } \mu\text{A}$$

$$\therefore \sigma_{shot}^2 = 2qI_p \cdot B_e = 2.85 \times 10^{-17} \text{ A}^2$$

$$\text{and } \sigma_{DB}^2 = 2qI_D \cdot B_e = 4.8 \times 10^{-20} \text{ A}^2$$

$$\text{and } \sigma_{DS}^2 = 0$$

and assuming $T = 300$ (27°C)

$$\rightarrow \sigma_T^2 = \frac{4k_B T}{R_L} \cdot B_e = 4.97 \times 10^{-15} \text{ A}^2$$

- σ_T^2 is the largest and therefore dominant.

$$6.12: \circ \circ T_{RC} = R_T C_T = A_T \cdot \frac{\epsilon A}{W}, \quad \epsilon = \epsilon_0 \cdot \epsilon_r$$

$$\epsilon_r \text{ given equal to } 11.7 \quad \therefore R_T \cdot C_T = 2.59 \text{ ns}$$

$$\circ \circ \text{ carrier drift: } t_d = \frac{W}{v_d}$$

$$\text{and } v_d = 4.4 \times 10^4 \text{ m/s for electrons}$$

$$\left. \begin{array}{l} t_d = 0.45 \text{ ns} \end{array} \right\}$$

- RC time constant is dominant, diffusion time negligible

$$7.5: a) \because P_0(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{V_{th} - V_{off}}{\sqrt{2} \sigma_{off}}\right) = \frac{1}{2} \operatorname{erfc}\left(\frac{V_1}{2\sqrt{2} \cdot 0.2V_1}\right)$$

$$\therefore P_0(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{5\sqrt{2}}{4}\right) \approx 0.0162$$

$$\wedge P_1(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{25\sqrt{2}}{24}\right) \approx 0.0339$$

$$b) P_e = \frac{1}{2} (0.65 \cdot P_0(V_{th}) + 0.35 P_1(V_{th})) = 0.0112$$

$$c) P_e = 0.0125$$

$$7.7: \because P_n(n) = N^n \frac{e^{-N}}{n!}, \quad n = \bar{N}$$

$$\because P_{in} = 2.5 \text{ nW} \wedge \alpha = 40 \text{ dB} \rightarrow P_{received} = 2.5 \text{ nW}$$

$$\text{Received energy in } 1 \text{ ns} = 2.5 \text{ nW} \cdot 1 \text{ ns} = 2.5 \times 10^{-18} \text{ J}$$

$$\text{Received photons in } 1 \text{ ns} = 16.35$$

$$\therefore P_n(n) = 16.35^n \cdot \frac{e^{-16.35}}{n!} = 7.72 \times 10^{-4}$$

Chapter 5:

- Coupling efficiency: $\eta = \frac{P_{\text{fiber}}}{P_{\text{source}}}$

- Radiation pattern of Lambertian source: $B(\theta, \phi) = B_0 \cos(\theta)$

SLED

radiance along normal to emitting surface

- LEDs and LDs do not have a Lambertian radiation pattern

$$\frac{1}{B(\theta, \phi)} = \frac{\sin^2(\phi)}{B_0 \cos^2(\theta)} + \frac{\cos^2(\phi)}{B_0 \cos^2(\theta)}$$

($\phi=0^\circ$) ($\phi=90^\circ$)

- integers L and T are the lateral and transverse power distribution coefficients of the radiation pattern (very large for LDs)

- for a SLED to step-index fiber, coupled power:

$$P_{\text{LED, step}} = \pi^2 R_D^2 \underbrace{(NA)^2}_{\text{numerical aperture}} \cdot B_0$$

- any optical source with circular emitting surface and uniform radius has a total emitted power $P_S = \pi^2 \cdot R_D^2 \cdot B_0$

$$\rightarrow P_{\text{LED, step}} = (NA)^2 \cdot P_S \rightarrow \eta = (NA)^2 \text{ for } R_D < a$$

- if $R_D > a$, then $P_{\text{SLED, step}} = \left(\frac{a}{R_D}\right)^2 \cdot (NA)^2 \cdot P_S$

η for $R_D > a$

- for graded-index, power coupled from SLED for $R_D < a$:

$$P_{\text{LED, graded}} = 2\pi^2 \cdot R_D^2 \cdot B_0 \cdot n_1^2 \cdot \Delta \cdot \left[1 - \frac{a}{a+2} \left(\frac{R_D}{a}\right)^2 \right]$$

profile ≈ 2

$$\overset{0}{\circ} \underset{\circ}{n_1 \sqrt{2\Delta}} = NA \rightarrow P_{\text{SLED, graded}} = (NA)^2 \cdot P_S \cdot \left[1 - \frac{a}{a+2} \left(\frac{R_D}{a}\right)^2 \right]$$

- if $n_s > n$: $P_{\text{LED, guided}} = \left(\frac{n}{n_s}\right)^2 \cdot (NA)^2 \cdot P_s \left(1 - \frac{n}{n_s}\right)$

- due to differences in refractive indices of the source and fiber, some power will be reflected

$$\rightarrow P_{\text{coupled}} = (1 - R) P_{\text{emitted}}, \quad R = \left[\frac{n_F - n_{\text{LED}}}{n_F + n_{\text{LED}}} \right]^2$$

$$\text{loss} = -10 \log \left(\frac{P_{\text{coupled}}}{P_{\text{emitted}}} \right) = -10 \log (1 - R)$$

+ lensing schemes: small lens placed between source and fiber to improve coupling efficiency by magnifying the emitting area to match the fiber core.

- Round-ended fiber, - small nonimaging glass sphere,
- larger spherical lens, - cylindrical lens, - taper-ended fiber
- system of spherical-surfaced LED and spherical-ended fiber

+ fiber-to-fiber joints:

- needed at Tx, Rx, Repeaters, Amplifiers, odd-length points, between fibers
- types: splices (permanent, between fibers), connectors (removable)
- fiber-to-fiber coupling efficiency: $\eta_F = \frac{M_{\text{common}}}{M_E}$
number of modes in both fibers
number of modes in the emitter
- fiber coupling loss: $L_F = -10 \log(\eta_F)$

+ mechanical misalignment:

1 - lateral: power coupled proportional to common
offset introduces most losses

area of fibers \odot

$$A_{\text{comm}} = 2a^2 \cos^{-1}\left(\frac{d}{2a}\right) - d \left[a^2 - \frac{d^2}{4} \right]^{1/2}$$

$$\Rightarrow \eta_{F, \text{steer}} = \frac{A_{\text{comm}}}{\pi a^2} = \frac{2}{\pi} \cos^{-1}\left(\frac{d}{2a}\right) - \frac{d}{\pi a} \left[1 - \left(\frac{d}{2a}\right)^2 \right]^{1/2}$$

$$\approx \eta_{\text{graded}} \approx \left[1 - \frac{8d}{3\pi a} \right]$$

2- longitudinal: ends separated by distance s

$$\eta_F = \left(\frac{a}{a + s \tan(\theta_0, \text{max})} \right)^2$$

3- Angular: $\eta_F \approx 1 - \frac{\theta}{\pi(\text{NA})}$

maximum acceptance angle

$$\text{loss} = L_F = -10 \log(\eta_F)$$

+ other coupling losses:

- due to different core diameters: $L_F(a) = -10 \log \left(\frac{a_R}{a_E} \right)^2$

for $a_R < a_E$, 0 if $a_R > a_E$

- due to different NA: $L_F(\text{NA}) = -10 \log \left(\frac{(\text{NA})_R}{(\text{NA})_E} \right)^2$

for $\text{NA}_R < \text{NA}_E$, 0 if $\text{NA}_R > \text{NA}_E$

- due to different refractive indices: $L_F(n) = -10 \log \left(\frac{n_R (n_E + 2)}{n_E (n_R + 2)} \right)$

refractive index profile, for $n_R < n_E$, 0 if $n_R > n_E$

+ single-mode fibers:

- axial (lateral) misalignment: $L_{\text{SM, lat}} = -10 \log \left[\exp \left\{ - \left(\frac{d}{w} \right)^2 \right\} \right]$

where $w = a \left[0.65 + 1.619 V^{-3/2} + 2.874 V^{-6} \right]$

mode field diameter, radius, V-number, $V \leq 2.405$ for single mode

- angular: $L_{\text{SM, ang}} = -10 \log \left[\exp \left\{ - \left(\frac{\pi n_2 w \theta}{\lambda} \right)^2 \right\} \right]$

in NA

- longitudinal: $L_{sm, gap} = -10 \log \left\{ \frac{64 \cdot n_1^2 \cdot n_3^2}{(n_1 + n_3)^4 \cdot (G^2 + 4)} \right\}$ n_3 : material between fibers

where $G = \frac{\lambda}{2W^2}$ λ : separation, $b = \frac{2\pi}{\lambda}$

+ splicing steps: 1- boring: removing coating, keeping core and cladding

2- cleaning: cutting fibers to produce flat surface (no angle)

3- polishing surface

+ splicing techniques: 1- fusion, 2- V-groove mechanical, 3- electric-tube

- return loss: $RL = -10 \log \left[2R \left(1 - \cos \left(\frac{4\pi n d}{\lambda} \right) \right) \right]$ R : reflectivity, d : distance in each material, material or fiber core

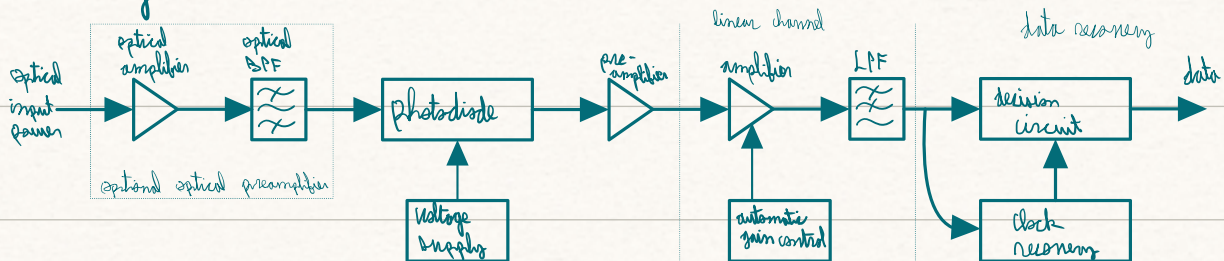
Chapter 7:

- optical receiver consists of photodetector, preamplifier, and signal processing circuit
- Receiver converts optical EM wave to electrical signal
- modulation scheme considered is unipolar (on-off-keying)
- data voltage signal \rightarrow electric current signal \rightarrow intensity modulation \rightarrow optical power. logic 1: light pulse with duration T_b no light, same duration for 0



- the decision circuit compares the amplified signal with some voltage threshold.

- intensity modulation and direct detection are most commonly used



- Receiver converts optical signals to electrical and recovers data.
- photodiode directly converts signal to baseband electric.
- \rightarrow no need for RF section

- Random arrival rate of signal photons produces quantum (or shot) noise.
- APD will have additional shot noise (excess noise) caused by the statistical nature of the multiplication process.
- Thermal noise generated in the photodetector's load resistance and the input resistance of the preamplifier.
- Primary current is time-varying poisson process. This is a property of EM radiation.

- Average number of electron-hole pairs generated is:

$$N = \frac{\eta}{h\nu} \int_0^T P(t) dt = \frac{\eta E}{h\nu}$$

number of photons per pulse

- probability that n electrons are generated in T is a poisson distribution given as:

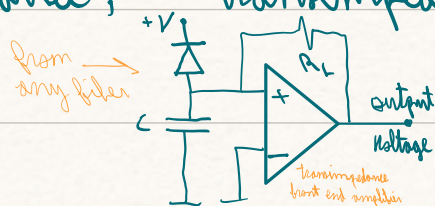
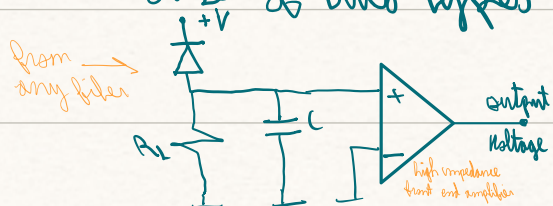
$$P_n(n) = N^n \frac{e^{-N}}{n!}$$

- for an APD with mean gain M , the excess noise factor is:

$$F(M) \approx M^x$$

x : factor that ranges from 0 to 1
depends on material

- front-end amplifier amplifies the weak signal generated by the photodiode. it must be low noise and large bandwidth + one of two types: high impedance, Transimpedance



- high impedance amplifier requires a high load resistance R_L to minimize the thermal noise, but at the expense of bandwidth.

$$B_e = \frac{1}{2\pi R_L C}$$

$$\frac{1}{2\pi (R_L // R_{in}) C_{eq}} \quad , \quad R_L // R_{in} = R_L$$

∵ $R_{in} \approx \infty$

- negative feedback in the transimpedance amplifier reduces effective resistance seen by the PD by a factor G , where G is the gain of the amplifier.

$$B_e = \frac{G}{2\pi R_L C}$$

$$\therefore R_{eff} = \frac{R_L}{G}$$

- If some R_L used for transimpedance or high impedance, the transimpedance will have double the thermal noise and G times the bandwidth.

→ Transimpedance amplifier preferable.

- received pulse train: $P(t) = \sum_{n=-\infty}^{+\infty} a_n h_p(t - nT_b)$

- output photocurrent: $i(t) = M \cdot R \cdot \sum_{n=-\infty}^{+\infty} a_n h_p(t - nT_b)$

- connected, amplified, and filtered voltage: $V(t) = \sum_{n=-\infty}^{+\infty} b_n h_p(t - nT_b) + n(t)$

- bit-error probability: $BER = P_e = \alpha P_1(V_{th}) + \beta P_0(V_{th})$

- The probability of error given logic 0: $P_0(V_{th}) = \frac{1}{2} \operatorname{erfc} \left[\frac{V_{th} - V_{off}}{\sqrt{2} \sigma_{th}} \right]$

- error function complementary: $\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} \exp[-y^2] dy$

$$\rightarrow \operatorname{erfc}(x) \approx \frac{e^{-x^2}}{2x}$$

sum of all types of noise

$\alpha + \beta = 1$

mean voltage level

- Do logic I was sent: $P_1(V_{th}) = \frac{1}{2} \operatorname{erfc} \left[\frac{V_{on} - V_{th}}{\sqrt{2} \sigma_{on}} \right]$
- average bit error probability: $BER = P_e = \frac{1}{2} \cdot [P_1(V_{th}) + P_0(V_{th})]$

- The optimum threshold giving the minimum BER is found as:

$$V_{th, opt} = \frac{\sigma_{on} \cdot V_{on} + \sigma_{off} \cdot V_{off}}{\sigma_{on} + \sigma_{off}}$$

point at which functions are equal
 $\therefore Q = \frac{V_{th} - V_{off}}{\sigma_{off}} = \frac{V_{on} - V_{th}}{\sigma_{on}}$
 at $a=b=0.5$

- BER at optimum threshold: $BER = P_e = \frac{1}{2} \operatorname{erfc} \left(\frac{Q}{\sqrt{2}} \right) \approx \frac{1}{4\pi} \exp \left[-\frac{Q^2}{2} \right]$

$$Q \text{ at } V_{th, opt} = \frac{V_{on} - V_{off}}{\sigma_{on} + \sigma_{off}}$$

* receiver sensitivity: minimum ^{received} power required to achieve a certain performance measure for some bit rate

- the Q number can be written as: $\frac{I_1 - I_0}{\sigma_1 + \sigma_0} \approx \frac{I_1}{\sigma_1 + \sigma_0}$ $\therefore Q = \frac{V_{on} - V_{off}}{\sigma_{on} + \sigma_{off}}$

- average received optical power is: $P = \frac{P_1 + P_0}{2} \approx \frac{P_1}{2}$

- average photocurrent from received power: $I \approx I_1 = RMP_1$ responsivity multiplication factor

- Therefore, power to achieve Q: $P_{sensitivity} = \frac{P_1}{2} = \frac{I_1}{RM} = \frac{Q(\sigma_1 + \sigma_0)}{RM}$

- Since the assumed power received in 0 pulse is 0, there will only be thermal noise $\rightarrow \sigma_0 = \sigma_T$, whereas the 1 pulse will have thermal and shot noise $\rightarrow \sigma_1 = \sigma_T + \sigma_{shot}$

- average thermal noise power received in electrical bandwidth B_e :

$$\sigma_T^2 = \frac{4k_B T}{R_L} \cdot F_n \cdot B_e$$

k_B : Boltzmann constant, T : temperature in Kelvin, F_n : noise figure

- average shot noise power in B_e :

$$\sigma_{shot}^2 = 2q \cdot I_1 \cdot M \cdot F(M) \cdot B_e = 2q P_i R M^2 \cdot F(M) \cdot B_e$$

$$\therefore \sigma_{\text{shot}}^2 = 4 q P_{\text{ sensitivity}} \cdot R \cdot M^2 \cdot F(M) \cdot B_e$$

- somehow:

$$P_{\text{ sensitivity}} = \frac{Q}{Am} \left[q \cdot M \cdot F(M) \cdot Q \cdot B_e + \sqrt{\frac{4k_B T}{Am}} F_n B_e \right]$$

- for a low-pass Nyquist channel: $B_e = \frac{B}{2}$ bit rate

* Quantum limit: minimum received power required for a specific BER

- error occurs if no electron hole pairs are generated when logic 1

$$P_n(N) = N^n \frac{e^{-N}}{n!}$$

number of electrons
average number of electron hole pairs generated in T one pulse duration

$$\therefore P_n(0) = e^{-N} = P_e$$

Chapter 8:

- Two optical fiber comm. systems categories: point-to-point or distributed net.
- point-to-point: electronic repeaters or optical amplifiers
 - electronic repeaters: overcome attenuation and dispersion + other disadvantages
but only used in single wavelength systems
 - optical amplifiers: amplify signal, dispersion, and noise
 - dispersion solved by using dispersion compensating fibers at different locations (pre-, inline-, or post-compensation)

+ Key design requirements:

- 1- transmission distance,
- 2- data rate or bandwidth,
- 3- BER or output S/N

+ design choices: three components: source, fiber, receiver

- optical source: LED (SLED or ELED) or LD
- optical fiber channel: single mode step, multimode step, multimode graded
- optical receiver: PIN or Avalanche photodiodes

+ considerations for source:

- 1- emission wavelength,
- 2- spectral line width,
- 3- output power
- 4- effective radiating area,
- 5- emission pattern,
- 6- number of modes

7- switching speed, 8- lifetime

+ considerations for fiber:

1- core and cladding diameters, 2- core refractive index profile

3- bandwidth (dispersion), 4- attenuation, 5- numerical aperture

step or graded

or mode-field diameter

+ considerations for receivers:

1- Responsivity (quantum efficiency), 2- operating wavelength

3- response time, 4- sensitivity, 5- lifetime

* power budget: difference between power from source and power received

- must be equal to or greater than sum of all losses plus

some margin:

$$P_T = P_S - P_R = \alpha_f \cdot L + M \cdot \alpha_c + N \cdot \alpha_s + \text{margin}$$

transmitted received fiber attenuation number of connectors connector loss number of splices splice loss usually 6 dB

* rise-time: time in which an output changes from 10% to 90% of its maximum value when the input is a step function.

- rise-time budget deals with the allowed intersymbol interference.

- the system rise time must be smaller than some fraction of the

bit period. This fraction depends on the line coding scheme used.

$$- \text{system rise-time is: } t_{\text{sys}} = \sqrt{t_{\text{tx}}^2 + t_{\text{mod}}^2 + t_{\text{chann}}^2 + t_{\text{rx}}^2}$$

transmission direction rise-time due to intersymbol dispersion rise-time due to chromatic dispersion receiver rise-time

- the rise-times are squared because pulses are assumed gaussian shred

- for NRZ: $t_{sys} = 0.7 T_r = \frac{0.7}{B}$

- for RZ: $t_{sys} = 0.35 T_r = \frac{0.35}{B}$ (half since RZ has half NRZ width)

- Receiver rise-time: $\frac{350}{B_{rx}(\text{MHz})} = t_{rx}(\text{ns})$
Receiver bandwidth

- Rise-time due to intermodal dispersion:

$$\frac{440 L^2}{B_0 (\text{MHz} \cdot \text{km})} = t_{md} (\text{ns})$$

length term mode mixing parameter (range from 0.5 to 1)
bandwidth distance product of fiber due to intermodal dispersion

- Rise-time due to chromatic dispersion:

$$t_{chrom} = D \sigma_\lambda L = (D_{mat} + D_{wg}) \cdot \sigma_\lambda \cdot L$$

chromatic dispersion factor in ps/(nm km) spectral width of source in nm

- maximum link distance for a given bit rate is found from:

$$t_{sys} = \sqrt{t_{rx}^2 + \left(\frac{440 L^2}{B_0}\right)^2 + (D \sigma_\lambda L)^2 + \left(\frac{350}{B_{rx}}\right)^2}$$

$\leq \frac{0.7}{B}$ or $\frac{0.35}{B}$
NRZ RZ

- intermodal dispersion is zero for single mode fibers ($t_{md} = 0$)

final 2016:

Q1) main optical sources:

LED: advantage: cheap, low sensitivity to temp.

disadvantage: low coupling efficiency

LD: advantage: high coupling efficiency, small spectral width

disadvantage: high sensitivity to temp. expensive

main fibers:

single-mode step-index: advantage: high bandwidth, no intermodal ^{disp}

disadvantage: cost and complexity difficult to launch _{power}

multimode step-index: advantage: easy to launch power since large core radius

disadvantage: very small bandwidth

multimode graded-index: advantage: larger bandwidth than step multi

disadvantage: more expensive to fabricate

main detectors:

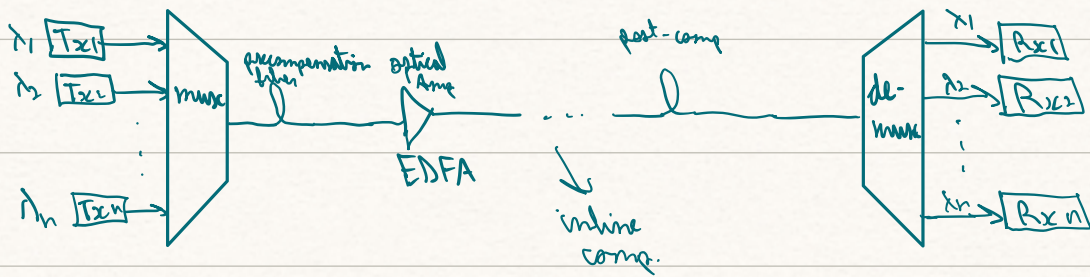
PIN photodiode: advantage: low bias voltage, stable with temp.

disadvantage: low responsivity

Avalanche PD: advantage: increased sensitivity, higher responsivity

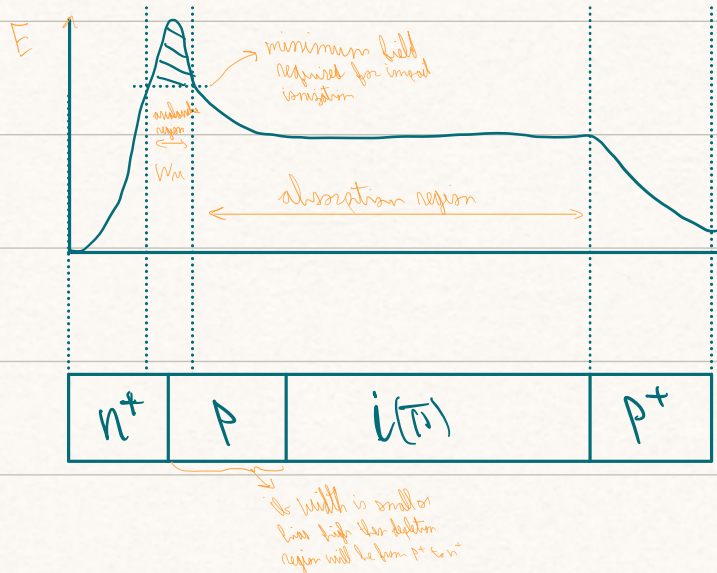
disadvantage: high bias voltage,

Q2)



- CWDM: coarse Wavelength division multiplexing
- DWDM: dense " " "

Q3)



- in the avalanche region, the primary current generated in the intrinsic region is multiplied by a certain gain M . This is due to impact ionization wherein the generated carriers are accelerated by the high electric field in the avalanche region thereby ionizing atoms in their path upon collision

- three materials used: Si (0.4 → 1.1 μm), Ge (0.8 → 1.8 μm), and InGaAs (1.0 → 1.7 μm)

Q4) $\because E_g \leq h\nu \rightarrow E_g \leq h \frac{c}{\lambda} \rightarrow \lambda \leq \frac{hc}{E_g}$

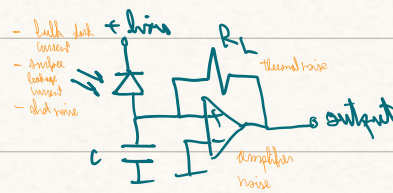
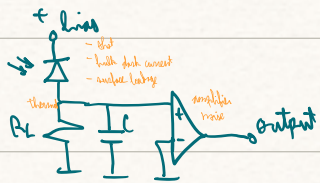
$\therefore \lambda_c = 1.6 \mu\text{m} \quad \text{or} \quad \lambda_c = \frac{1.24}{E_g(\text{eV})} = 1.6 \mu\text{m}$

unity gain responsivity: $R = \frac{\eta q x}{hc} = 1.123$

$\rightarrow I = P_{in} \cdot R \cdot M = 1.123 \cdot 50 \cdot 100 \times 10^{-9} = 5.615 \mu\text{A}$

shot noise: $2 q I_m \cdot M \cdot F(m) \cdot B e = \sigma_{shot}^2 = 6.352 \times 10^{-14} = -101.99 \text{ dBm}$

Q5)



1- high impedance Amp.

2- transimpedance Amp.

- transimpedance is more commonly used as it can achieve 6x times the bandwidth increase of high impedance amps with only twice the noise.

Q6) $P_{sensitivity} = \frac{Q}{RM} \cdot \left[\frac{\eta m F(m) \cdot Q \cdot B}{2} + \sqrt{\frac{4 h \nu T}{2 R_L} \cdot F_n \cdot B} \right]$

$\rightarrow P_{sensitivity} = \frac{Q}{RM} \cdot [5.122 \times 10^{-6} + 1.021 \times 10^{-6}]$

$\therefore BER = \frac{1}{4\pi} e^{-\frac{Q^2}{2}} \rightarrow Q = 6.4 \quad \therefore P_{sensitivity} = 4.37 \times 10^{-9} \text{ W}$

quantum limits: $10^{-10} = e^{-N} \rightarrow N = 23 \text{ photons}$

$E_{avg} = \frac{23 \cdot h \nu}{2} \quad \text{and} \quad P = \frac{23 \cdot h \cdot c}{T \cdot \lambda \cdot 2} = \frac{23 \cdot h \cdot c}{2 \cdot \lambda} \cdot B =$

need λ

Q7) 1- distance

2- bandwidth / data rate

3- BER

1- bandwidth, wavelength, mode

2- cost, refractive index, numerical aperture

3- responsiveness, sensitivity

Q8) rise-time: $\sqrt{t_{rise}^2 + \left(\frac{0.90L}{B_s}\right)^2 + (0.5L)^2 + \left(\frac{360}{B_{rec}}\right)^2}$

simple mode

$$\rightarrow \text{rise time} = \sqrt{(60 \times 10^{-3})^2 + (5 \times 10^{-3} \cdot 250 \cdot 0.25)^2 + \left(\frac{360}{10 \times 10^3}\right)^2}$$

$$\therefore \text{rise time} = 0.3184 \text{ ns} \leq \frac{0.7}{10 \text{ GHz}} \quad \times$$

Rise time limited

$$\therefore \sqrt{(60 \times 10^{-3})^2 + (5 \times 10^{-3} \cdot L \cdot 0.25)^2 + \left(\frac{360}{10 \times 10^3}\right)^2} \leq \frac{0.7}{10}$$

$$\rightarrow L = 27.4 \text{ km}$$

\therefore 4 repeaters needed

power budget:

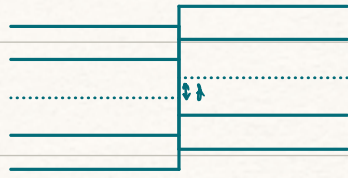
$$3.01 - -40 = \alpha_r \cdot L + M \alpha_r + N \alpha_{sp} + 6$$

power limited

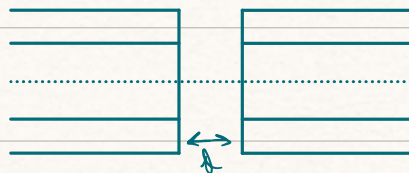
$$3.01 - -40 = \alpha_r \cdot 27.4 + 2 \cdot 2 + 6 \quad \checkmark$$

Dec 2016

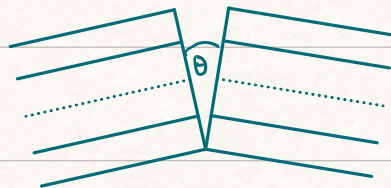
Q6: misalignment:
1 - lateral offset:



2 - longitudinal:



3 - angular



- lensing schemes:

1 - taper-ended fiber:



2 - non-imaging microscope



3 - round-ended fiber



Q7: 1 - coupling efficiency:

$$P_{LED, \text{total}} = (NA)^2 \cdot P_s \cdot \left[1 - \left(\frac{a}{a+r} \right) \cdot \left(\frac{n_s}{n} \right)^2 \right]$$

$$n_s = n \rightarrow \eta = (0.2)^2 \cdot \left[1 - \frac{1}{2} \right] = 0.02$$

$$\rightarrow \eta = 2\%$$

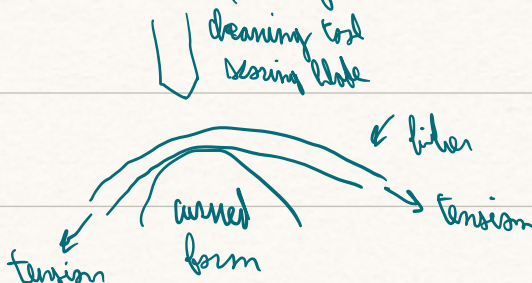
$$\Lambda P_{LED, \text{total}} = \eta \cdot \pi^2 \cdot n_s^2 \cdot B_0 = 0.02 \cdot 6.17 \text{ mW} = 0.123 \text{ mW}$$

2 - single mode:

$$\eta = \left(\frac{a}{n_s} \right)^2 \cdot (NA)^2 = 0.049\%$$

$$\rightarrow P_{LED, \text{total}} = 2.47 \text{ nW}$$

Q8: three splicing techniques: 1 - fusion splicing, 2 - V-groove mechanical splicing, 3 - elastic-tube splicing



Q9: for a lambertian source: $B(\theta, \phi) = B_0 \cos(\theta)$

$$\rightarrow \text{half power} \Rightarrow \cos(\theta) = \frac{1}{2} \rightarrow \theta = 60^\circ$$

$$\rightarrow \text{beamwidth} = 120^\circ$$

laser in lateral direction $\rightarrow \phi = 0$

$$\therefore \frac{1}{B(\theta, \phi)} = \frac{1}{B_0 \cos^2 \theta}$$

$$\rightarrow B(2.5^\circ, 0) = B_0 \cos^2(2.5)$$

$$\rightarrow \cos^2(2.5) = 0.5 \rightarrow L = \log_{\cos(2.5)}(0.5)$$

$$\rightarrow L = 727.9 = 728 \text{ integers}$$

Q10: lateral displacement

$$A_{\text{common}} = 2a^2 \cos^{-1}\left(\frac{1}{2a}\right) - \left(a^2 - \frac{1}{4}\right)^{1/2}$$

$$\text{take smaller values} \rightarrow A_{\text{common}} = 7554 \text{ nm}^2$$

$$\rightarrow \eta_F = 0.962 \rightarrow L_{\eta_F} = 0.168 \text{ dB}$$

$$\text{longitudinal: } \left(\frac{1}{1 + \delta \tan(\theta_{\text{max}})}\right)^2 = \eta_F \sim \theta_{\text{max}} = 0.26$$

$$\rightarrow \eta_F = 0.951$$

$$\rightarrow \text{total losses} = 0.384 \text{ dB}$$

Second 2014:

$$\text{Q8: } \frac{1}{B(\theta, \phi)} = \frac{\sin^2(\phi)}{B_0 \cos^2(\theta)} + \frac{\cos^2(\phi)}{B_0 \cos^2(\theta)}$$

$$\text{lateral} \rightarrow \phi = 0 \rightarrow B(\theta, 0) = B_0 \cos^2(\theta)$$

$$0.5 = \cos^2(\theta) \rightarrow \theta = 5.5^\circ \rightarrow \text{beamwidth} = 11^\circ$$

$$\text{transverse} \rightarrow \phi = 90^\circ \rightarrow \frac{1}{B(\theta, 90^\circ)} = \frac{1}{B_0 \cos^2(\theta)} \rightarrow 0.5 = \cos^2(\theta)$$

$$\rightarrow \theta = 7.77 \rightarrow \text{beamwidth} = 15.55^\circ$$

$$Q9: P_s = \pi^2 \Omega_s^2 B_0 = 6.169 \text{ mW}$$

$$\text{multimode: } \eta = (NA)^2 = 4\% , P_{\text{coupled}} = 0.247 \text{ mW}$$

$$\text{single mode: } \eta = (NA)^2 \cdot \left(\frac{5}{25}\right)^2 = 0.04\% , P_{\text{coupled}} = 2.47 \text{ } \mu\text{W}$$

Q10: total loss = loss due to diameter difference (dB) + loss due to misalignment

$$\rightarrow \text{loss due to diameter} = -10 \log \left(\frac{50}{62.5}\right)^2 = 1.938 \text{ dB}$$

$$\rightarrow \text{loss due to misalignment: } -10 \log \left[\frac{2}{\pi} \cos^{-1} \left(\frac{d}{2a}\right) - \frac{d}{\pi} \left[1 - \left(\frac{d}{2a}\right)^2\right]^{1/2} \right]$$
$$\rightarrow 0.59 \text{ dB}$$

$$\therefore \text{loss} = 2.528 \text{ dB}$$

$$\Theta_{s, \text{max}} = \sin^{-1}(NA)$$

final 2022:

Q1) N/A

Q2: a) 3 dB beamwidth $\rightarrow B(\theta, \phi) = \frac{B_0}{2} \wedge \frac{1}{B(\theta, \phi)} = \frac{\sin^2(\phi)}{B_0 \cos^2(\theta)} + \frac{\cos^2(\phi)}{B_0 \cos^2(\theta)}$

lateral $\rightarrow \phi = 0 \rightarrow B(\theta, 0) = B_0 \cos^2(\theta)$

for $B(\theta, 0) = 0.5 B_0 \rightarrow \cos(\theta) = (0.5)^{1/2}$

$\therefore \theta = 3^\circ \rightarrow \text{beamwidth} = 6^\circ$

transverse $\rightarrow \phi = 90^\circ \rightarrow B(\theta, 90) = B_0 \cos^2(\theta)$

for 3 dB beamwidth: $2\theta = 2 \cos^{-1}(0.5^{1/2}) = 13.5^\circ$

if $\theta = 3^\circ \wedge \phi = 6^\circ \rightarrow B(\theta, \phi) = 50.61 \text{ W}/(\text{cm}^2 \cdot \text{str})$

b) $\circ \circ P_s = \pi^2 \cdot \underbrace{A_n^2}_{\text{same area, width (e.g. cm}^2)} \cdot B_0 \rightarrow P_s = 29.86 \text{ mW}$

a) PLED, step $\forall r_0 > a = \left(\frac{a}{a_0}\right)^2 \cdot (NA)^2 \cdot P_s \rightarrow P_{\text{coupled}} = 1.45 \text{ mW}$

b) PLED, graded $\forall r_0 < a = (NA)^2 \cdot \left[1 - \frac{d}{2+r} \left(\frac{r_0}{a}\right)^2\right] \cdot P_s = 0.73 \text{ mW}$

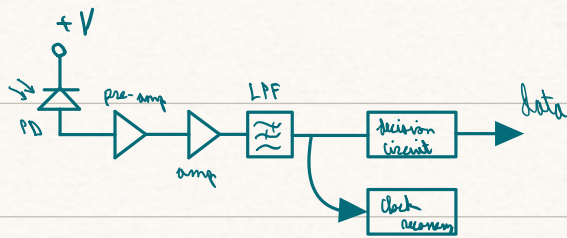
c) lateral (spillover) loss = $\frac{1}{\pi} \cos^{-1}\left(\frac{1}{2a}\right) - \frac{d}{\pi a} \left[1 - \left(\frac{1}{2a}\right)^2\right]^{1/2} = 0.936$

\therefore lateral losses = $-10 \log(0.9364) = 0.286 \text{ dB}$

$\circ \circ$ Angular loss: $\eta_F \approx 1 - \frac{\theta}{\pi (NA)}$ $\theta \rightarrow$ radians! = $0.8611 = 0.649 \text{ dB}$

\therefore total loss = 0.935 dB

Q3: a)



- four types of noise generated:

1- shot, 2- dark bulk current, 3- surface leakage current, 4- thermal noise

b) cutoff wavelength: $\lambda_c (\mu m) = \frac{1.24}{E_g (eV)} = 1.653$

or $\because E > E_g \rightarrow \frac{hc}{\lambda} > E_g \rightarrow \lambda_c < \frac{hc}{E_g} = 1.656 \mu m$

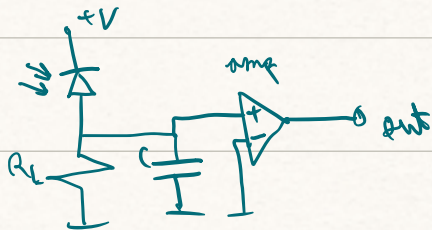
unity gain responsivity: $R = \frac{\eta q \lambda}{hc} = 1.199 \text{ A/W}$

generated current = $R \cdot M \cdot P_{in} = 5.98 \mu A = i_m$

$SMA = \frac{(i_m)^2}{2q(I_p + I_0)M^2 \cdot F(M) \cdot B_e + 2qI_L B_e + \frac{4k_B T}{R_L} B_e} = 5.23 = 7.19 \text{ dB}$

Handwritten notes: 6.77×10^{12} and 6.62×10^{14}

Q4: a)



high impedance amplifier



Transimpedance amplifier

- Transimpedance amplifier is more commonly used since

$G \times$ bandwidth gain can be achieved with only double

the noise of high impedance amplifiers.

b) $P_{sensitivity} = \frac{Q}{RM} \cdot \left[\frac{q M F(M) Q B}{2} + \sqrt{\frac{2k_B T}{R_L} F_n B} \right]$

$\because BER = 10^{-11} = \frac{1}{2.57} e^{-\frac{Q^2}{2}}$ -32.5 dBm

for $BER = 10^{-11} \rightarrow 25.33 \text{ photons} \rightarrow P_{sensitivity} = B \cdot \frac{25.33 \cdot hc}{\lambda} = 32.5 \text{ nW} = -44.9 \text{ dBm}$

Q5: a) assuming three characteristics for each type

* Sources:

+ LED:

- wide spectral width \rightarrow low coupling efficiency
- low sensitivity to temperature
- low modulation bandwidth + low cost and complexity

+ LD:

- narrow spectral width \rightarrow high coupling efficiency
- high sensitivity to temperature
- high modulation bandwidth + high cost and complexity

* fibers

+ single mode step-index:

- small core radius
- can support high bandwidths
- complex splicing + high cost

+ multimode graded index:

- larger core radius than single mode
- can support moderate bandwidths (lower than single)
- moderate splicing complexity + high cost (due to grading)

+ multimode step index:

- largest core radius (compared to previous two)
- supports low bandwidth
- simple slicing + low cost

* Receivers:

+ pin photodiode:

- low bias voltage required (less than 50V)
- less variations with temperature
- simpler, less expensive

+ Avalanche photodiode:

- high bias voltage (usually several hundred of volts)
- sensitive to temperature
- much higher sensitivity due to current multiplication

b) Rise-time budget: σ single mode \rightarrow no modal delay (intermodal dispersion)

$$\rightarrow t_{sys} = \sqrt{t_{tr}^2 + 0 + (D\sigma_{\lambda} \cdot L)^2 + \left(\frac{350}{B_{opt}(MHz)}\right)^2} = 0.259 \text{ ns}$$

Comparing t_{sys} with $t_{tr} \rightarrow t_{sys} > t_{tr} \rightarrow$ Repeaters needed

$$\text{for } t_{sys} = t_{tr} \rightarrow \sqrt{\left(\frac{0.9}{10}\right)^2 - t_{tr}^2 - \left(\frac{350}{B_{opt}(MHz)}\right)^2} = D\sigma_{\lambda} L$$

$$\therefore L_{ax} = 68.5 \text{ km}$$

power budget: $P_s - P_r = \alpha_f \cdot L + M \cdot \alpha_a + 0 + 6$

$\rightarrow L = \frac{1}{2}[30 - 2 - 6] = 88 \text{ km}$

∴ $L_{at} < L_p \rightarrow$ rise-time limited system

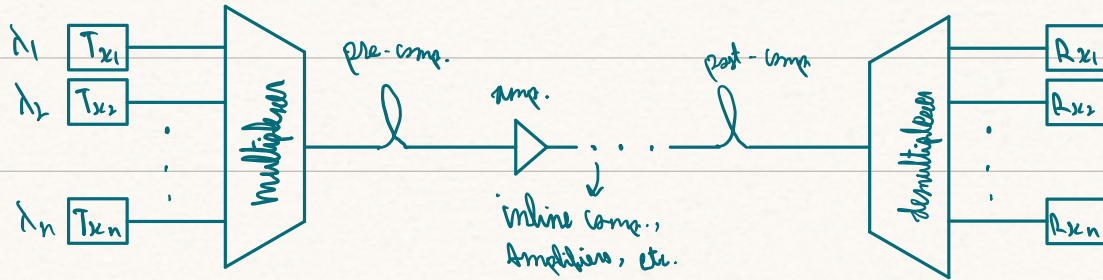
number of repeaters = 7 $\left\lfloor \frac{L}{L_{at}} \right\rfloor$ *round down*

final 2018:

Q1) N/A

Q2) not sure if it's included, but there is a block diagram for a WDM system in chapter 8

$$\text{bandwidth} = \frac{c}{\lambda_1} - \frac{c}{\lambda_2} = 43.3 \text{ THz}$$



Q3) N/A

Q4) $\lambda_c = \frac{1.24}{0.995} = 1.6 \mu\text{m}$

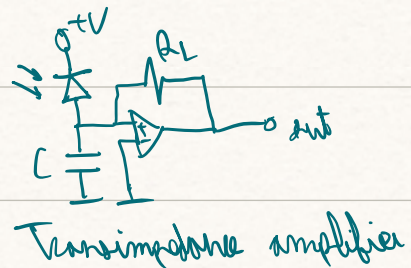
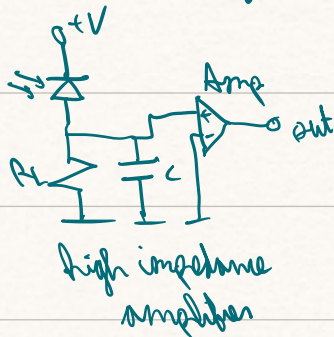
$$R = \frac{\eta \cdot q \cdot \lambda}{h \cdot c} = 1.123 \text{ A/W}$$

$$I_m = R \cdot M \cdot P_{in} = 561.5 \text{ nA}$$

$$\sigma_{shot}^2 = 2 q I_m \cdot M F(M) \cdot B_e = 6.35 \times 10^{-15} \text{ W}$$

-112 dBm

Q5)



noise in photodiode: shot, ^{dark} bulk current, surface leakage current

noise in amplifier: thermal in resistor + amplifier noise

$$Q6: \quad \sigma_0 \quad BER = 10^{-10} = \frac{1}{Q^2} e^{-\frac{Q^2}{2}} \rightarrow Q = 6.4$$

$$\therefore P_{sensitivity} = \frac{Q}{RM} \cdot \left[\frac{q M F(n) Q B}{2} + \sqrt{\frac{2 k_B T}{R_L} F_n B} \right]$$

$$\therefore P_{sensitivity} = 455.5 \text{ nW} = -33.4 \text{ dBm}$$

Q7: key requirements: 1- distance, 2- bandwidth, 3- performance (BER)

+ source: type (LED or LD), wavelength, spectral width, number of modes

+ fiber: core and cladding diameters, refractive indices, refractive index profile, core attenuation and dispersion (material)

+ detector: Responsivity, Response time, sensitivity, type (PIN or APD)

Q8) rise-time budget:

most likely needs repeaters so will skip to the next step

$$\frac{0.7}{10} = \sqrt{t_{rise}^2 + 0 + (0.5 L)^2 + \left(\frac{350}{B_{cut}}\right)^2} \rightarrow L = 27.4 \text{ km}$$

- note: since the calculated L is smaller than that given, our assumption is therefore correct.

power-budget:

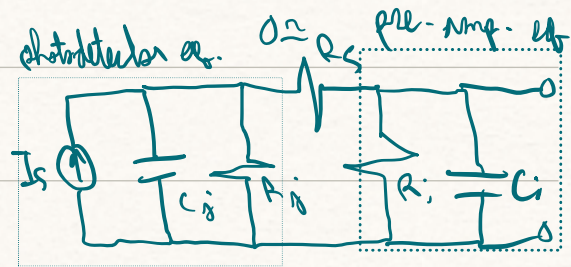
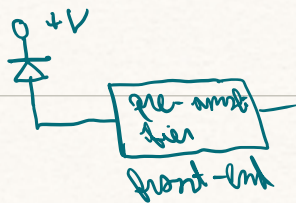
$$3.01 + 40 = \alpha \cdot L + M \cdot \alpha_a + 0 + 6 \text{ dB}$$

$$\rightarrow L = 175 \text{ km} \quad \therefore \text{rise-time limited}$$

$$\text{number of repeaters: } \left\lfloor \frac{260}{27.4} \right\rfloor = \underline{4}$$

final 2012:

Q2) a)



b) PIN : advantages : low temperature sensitivity, low bias required

disadvantage : no gain, less sensitive to received power

APD : advantages : high sensitivity to received power, higher response

disadvantage : expensive, complex, high bias, temperature instability

c) $\lambda_c = \frac{1.24}{0.75} = 1.653 \mu \rightarrow$ can be used for all three windows; however $\lambda = 1550 \text{ nm} \rightarrow$ third window.

$$I_m = M \cdot R \cdot P_{in} = M \cdot \frac{\eta \cdot q \cdot h \nu}{h \nu c} \cdot P_{in} = 56.1 \mu A$$

Q3) a) 1- distance, 2- bandwidth, 3- performance (BER)

b) source: 1- wavelength, 2- spectral width, 3- output power

fiber: 1- bandwidth, 2- core and cladding radii, 3- refractive indices
 ← profile + material

detector: 1- sensitivity, 2- responsivity, 3- wavelength

these characteristics determine the type for each.

c) 1) single-mode step index, LD, APD

2) multimode graded index, LED, PIN

note: step-multi $\rightarrow L < 10 \text{ km}$ & $B < 20 \text{ Mb/s}$

Q4) a) rise-time budget:

$$t_{sys} = \sqrt{t_{rise}^2 + \left(\frac{440 L^4}{B_0 \cdot (M_{12} \cdot km)}\right)^2 + (D \sigma_r L)^2 + \left(\frac{350}{B_{min}}\right)^2}$$

$$\rightarrow t_{sys} = 0.461 \text{ ns} > 0.35 \text{ ns}$$

\therefore does not fulfill rise-time budget

power budget:

$$40 \text{ dB} = 30 \text{ dB} + 3 \text{ dB} + 6 \text{ dB} \checkmark$$

\therefore fulfills power budget \rightarrow rise-time limited

b) solution: repeaters

solve for L

$$0.35^2 = t_{rise}^2 + \left(\frac{440 L^4}{B_0 \cdot (M_{12} \cdot km)}\right)^2 + (D \sigma_r L)^2 + \left(\frac{350}{B_{min}}\right)^2$$

$$\rightarrow \left(\frac{440}{B_0}\right)^2 \cdot L^8 + (D \sigma_r)^2 \cdot L^2 = 0.0819$$

on your calculator $\rightarrow 4.84 \times 10^{-4} L^8 + 6.25 \times 10^{-6} \cdot L^2 - 0.0819 = 0$

$$\rightarrow L = 36.225 \text{ m}$$

\therefore 1 repeater required at half-way point

Second 2015:

$$Q7: P_s = \pi^2 \cdot n_s^2 \cdot B_0 = 6.169 \text{ mW}$$

$$1- \eta = (NA)^2 \cdot \left(1 - \frac{a}{a+r}\right) = 2\% \rightarrow P_{\text{coupled}} = 0.123 \text{ mW}$$

$$2- \eta = 0.01\% \rightarrow P_{\text{coupled}} = 616.9 \text{ nW}$$

$$Q9: \text{for lambertian } B(\theta, \phi) = B_0 \cos(\theta)$$

$$\rightarrow 0.5 = \cos(\theta) \rightarrow 2\theta = 120^\circ$$

$$\text{Power: } B(2.5^\circ, 0) = 0.5 B_0 = B_0 \cos^L(\theta) \rightarrow L = 728$$

Q10: losses: different radii, different NA, lateral and longitudinal misalignment

$$\text{Radii: } -20 \log\left(\frac{50}{62.5}\right)$$

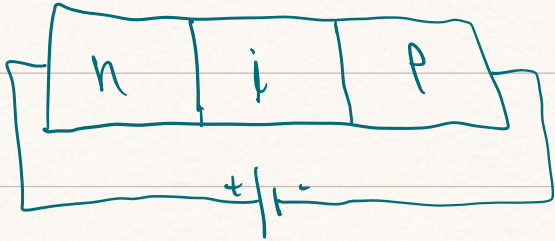
$$\text{NA: } 0 \quad \text{or } NA_R > NA_E$$

$$\text{lateral: } 0.2264$$

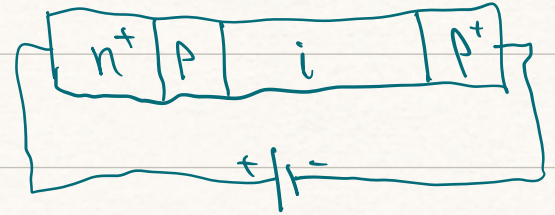
longitudinal:

final 2014:

Q2: a) PIN



APD



- both can be used in the third window
- materials used are Si, Ge, InGaAs

$$b) \lambda_c = \frac{1.24}{0.74} = 1.65 \mu m$$

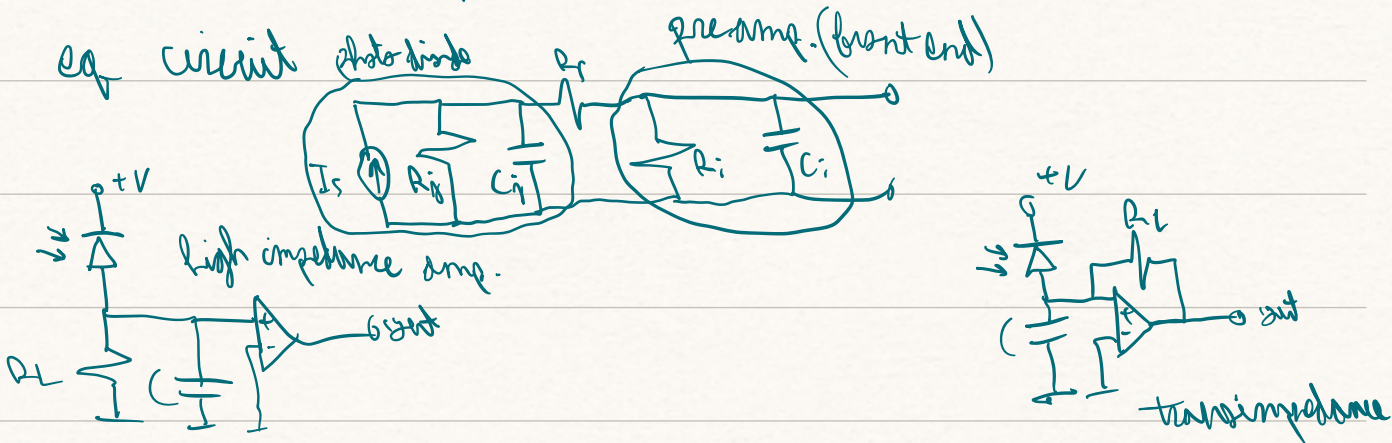
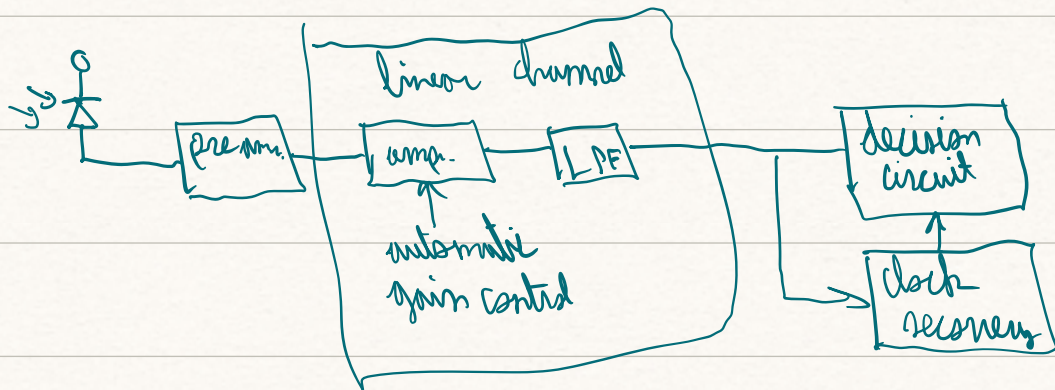
$$R = \frac{1.24 \lambda}{h c} = 1.123 \text{ A/W}$$

$$I_m = R M P_{in} = 112.3 \text{ nA}$$

$$\sigma_{shot}^2 = 2q I_m \cdot M \cdot F(\lambda) \cdot B_e = 1.8 \text{ pA}^2$$

Q3: a)

→ optical amplification



- transimpedance most commonly used because G times band width gain can be achieved with only double the thermal noise of high impedance Amp.

$$b) P_{sensitivity} = \frac{Q}{RM} \left[\frac{2M F_n B}{2} + \sqrt{\frac{2k_B T}{R_L} \cdot F_n B} \right]$$

$$\text{BER} = 10^{-12} = \frac{1}{7.17} e^{-\frac{Q}{2}} \rightarrow Q = 7.085$$

$$\rightarrow P_{sensitivity} = 601.7 \text{ nW} = -32.2 \text{ dBm}$$

Q4: a) optical source: 1- emission wavelength, 2- spectral width
3- bandwidth and switching speed, 4- number of modes

fiber: 1- core and cladding diameters, 2- bandwidth to be supported

3- material (refractive indices, attenuation, dispersion)

4- refractive index profile of core

receiver: 1- sensitivity, 2- lifetime

3- responsivity, 4- wavelength

+ types of all

b) assuming repeater needed

$$\left(\frac{0.7}{10}\right)^2 = t_{tx}^2 + 0 + (0.5 \times L)^2 + \left(\frac{360}{B_{RLC}}\right)^2$$

$$\rightarrow L = 34.3 \text{ km}$$

power:

$$3 + 39 = 40 = 0.24 \cdot L + 2 \times 2 + 6 \rightarrow L = 120$$

→ rise-time limited → 5 repeaters